Design and Analysis of Ridge Substrate Integrated Waveguide Bandpass Filter with Octagonal Complementary Split Ring Resonator for Suppression of Higher Order Harmonics

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Abstract—In this research paper, a Ridge Substrate Integrated Waveguide (RSIW) multiple band bandpass filter embedded with an octagonal shape Complementary Split Ring Resonator (CSRRs) is proposed. The electrically coupled octagonal shape CSRR is placed interdigitally in RSIW using transverse coupling technique to improve multiple passband bandwidths. The filter exhibits a highly selective multiple electric or magnetic or bianisotropic mode for different frequencies. The analysis for spurious band suppression has been done by direct method. The prototype configuration of quarter-wavelength octagonal CSRR resonators introduces band suppression at all odd harmonics. The proposed structure of filter with dimension $1.36\lambda_g \times 0.52\lambda_g$ excluding feed port is fabricated. Full wave structure simulated results are compared with measurement ones. The measured passband frequencies and their calculated respective central frequency ($f_0$), fractional bandwidth (FBW) are in close agreement with the simulated result. The spurious higher order harmonics are observed as suppressed. The filter can be utilized to suppress interference from LAN, WLAN, GSM, WiMAX and variable stopband for ISM interference.

1. INTRODUCTION

Filters find wide application to maintain quality in communication systems. Metamaterial structures as used in filters have attracted considerable attention due to size shrinking and its unique properties [1]. The latest trend in wireless communication system needs miniaturized filters in association with integrated multifunctional and multiband accomplishment for suppressing interference. It has been demonstrated that ring resonator based filters have attractive behaviour [2–4], but they have certain drawbacks such as large dimension and existence of higher order mode. Microstrip lines are compact and less expensive but resulting in non-immunity to the losses and parasitic crosstalk between lines. Less expensive but offer non-immunity to the losses as well as parasitic crosstalk between lines. In order to reduce filter size and enhance the performance, several modified waveguide configurations have been developed, such as substrate integrated waveguide (SIW) [5–7], half mode substrate integrated waveguide (HMSIW) [8, 9], substrate integrated slab waveguide [10–12], and ridge substrate integrated waveguide (RSIW) [13, 14] in SIW configuration. SIW supersedes conventional rectangular waveguide by its reduced width due to substrate filling. In comparison to the conventional waveguide, it not only gives rise to limited bandwidth and high losses in terms of substrate dielectric loss but also contributes to additional metallization losses due to its reduced guided height. Hence, a ridge was introduced to SIW structure in order to enhance operating bandwidth [15–17].

A novel RSIW waveguide was introduced by Bozzi et al. [18] in which top and bottom metal layers are filled with dielectric substrate. To replicate the side wall, two rows of plated through hole via a
full height structure has been incorporated. In addition, a pair of partial-height via array holes in the center of the SIW and common fully plated-through vias as side walls have been used.

Recently, filters using SIW and RSIW structures loaded with cavity configuration [18], double circular ring [7], triangular ridge [19], slot array antenna [20] and several miniaturized filters structures [12, 16, 21] have been reported by several authors. The conventional spurious band suppression is mainly designed for the application of single-band rejection [22] of narrow bandwidth. Further design of microstrip bandpass filters with multi-order spurious-mode suppression has been reported by authors in [23–25]. Subsequently, a non-radiative interdigital finger structure RSIW [21, 26] targeting the design of compact SIW filter structure is designed by cascading odd multiple of quarter wavelength uniform transmission elements in line configuration. It has been observed in the above reported filters that a significant low fractional bandwidth with limited number of passbands and high insertion loss have been experienced in microstrip line and coplanar waveguide.

In this research work, octagonal shapes of CSRRs are etched on the ridge surface of SIW, and interdigital capacitance is formed along the direction of propagation. The middle metal plane is connected through metallic vias. The circuit elements and the resonance frequency of CSRRs are adjusted by its geometrical dimension. In this RSIW parallel capacitance and inductance are placed in series with the transmission line. These elements can be used to enhance the spurious suppression performance of the proposed bandpass filter. The proposed CSRRs embedded RSIW filter can preserve the energy flow and avoid the energy leakage. These resonators establish higher-order mode transmission due to the transversal coupling. Also, by using direct method the effective permittivity and permeability in higher order harmonics are extracted. The filter has odd integral multiple of different centre frequencies, and some of them have similar or the same resonating frequency to realize multiple band suppression also including source-load coupling. This composite structure inherits the merits of planar transmission lines such as miniaturized size, low cost and easy integration with other planar circuits.

2. DESIGN CONSIDERATION

2.1. Substrate Integrated Waveguide

The design of SIW $TE_{mn}$ (where $m$ is the odd number, and $n$ is a positive integer) modes can be excited. The fundamental mode $TE_{101}$ is analyzed for the generation of transmission zero to suppress the higher order spurious response. In the SIW structure of Figure 1(a) desired passband to be generated and to improve spurious band suppression performance is constructed into dielectric substrate with $\epsilon = 2.56$. The total height $h = h_1 + h_2 = 3.2$ mm, the metalized via diameter $d_2 = 0.35$ mm, pitch (centre-to-centre) spacing $d_{s2} = 0.65$ mm, and $a = 6$ is the spacing between the two arrays. The cutoff frequency of the dominant mode in the SIW [5] is given as

$$f_{mn} = \frac{1}{2\pi\sqrt{\epsilon\mu}} \sqrt{\left(\frac{m\pi}{a_{SIW}}\right)^2 + \left(\frac{n\pi}{l_{SIW}}\right)^2}, \text{ [Hz]}$$

where,

$$a_{SIW} = a - 1.08 \frac{d_2^2}{d_{s2}} + 0.1 \frac{d_2^2}{a} \quad (2)$$

$$l_{SIW} = a - 1.08 \frac{d_2^2}{d_{s2}} + 0.1 \frac{d_2^2}{l} \quad (3)$$

The synthesized waveguide becomes free from leakage loss by selecting a proper via diameter ($d_2$) and pitch ($d_{s2}$) dimension. The resonance frequency of its dominant mode is $f_{101} = 15.56$ GHz, $f_{102} = 17.67$ GHz and $f_{103} = 23.03$ GHz, respectively, as shown in Figure 1(b) and results in wider monomode bandwidth than the rectangular waveguide. For further improvement, the bandwidth of SIW is indeed widened with an RSIW.

2.2. Ridge SIW (RSIW)

In order to enhance the performance of SIW of Figure 1, ridge substrate integrated waveguide (RSIW) is utilized in microstrip coplanar waveguide for its wideband and low impedance characteristics. The
Figure 1. (a) Layout of the SIW resonator (all dimensions are in mm: $a = 14$ mm, $d_2 = 0.65$ mm, $d_2 = 0.35$ mm, $h = 3.2$ mm) (grey region is metal, green region is substrate). (b) Mode plot.

Figure 2. (a) Layout and design parameters of a single RSIW (all dimensions are in mm: $a = 14$ mm, $b = 9$ mm, $d_1 = 0.3$ mm, $d_2 = 0.35$ mm, $d_{sf} = 0.5$ mm, $h_1 = h_2 = 1.6$ mm, $d_{s2} = 0.65$ mm). (b) Equivalent circuit of ridge waveguide (Lumped element value: $C = 0.94$ pf, $L = 8.04$ pH).

rectangular waveguide mono-modal cutoff $f_1$ for dominant mode $(TE_{10})$ for that height to width ratio should be less than half. A ridge waveguide of a uniform multilayer substrate in which two rows of via array form side-walls, and two row of posts with central interdigital and bottom metal layer form central ridge, shown in Figure 2(a).

The resonance frequency for the dominant mode $(TE_{10})$ in ridge waveguide [14] is given as

$$f_c = \frac{1}{2\pi \sqrt{LC}}$$

where,

$$L = \mu (a - b) \frac{h_1 + h_2}{4}$$

where $h_1$ and $h_2$ are the thickness of top and bottom substrate layers respectively, and the capacitance $C$ is the summation of electrostatic capacitance ($C_g$) and discontinuity capacitance ($C_d$) per unit length.

$$C = C_g + 2C_d$$

where,

$$C_g = \frac{eb}{h_2}$$

and

$$C_d = \frac{\epsilon}{\pi} \left[ \frac{x^2 + 1}{x} \cosh^{-1} \frac{1 + x^2}{1 - x^2} - \ln \frac{4x}{1 - x^2} \right]$$

where $x = 1 - \frac{h_1}{h_1 + h_2}$. Figure 2(b) depicts the equivalent circuit of single RSIW at cutoff frequency. Here the outer dimensions of the waveguides $a$ and $b$ remain unchanged. Further, through a suitable selection of the geometry of ridge the bandwidth of this waveguide can be controlled.

The propagation of wave will not take place when the propagation constant $\beta$ is zero. As known through [27], it is observed that the wave experiences forward and backward motions between the
waveguide side walls which result in non-propagation of wave longitudinally. The cutoff frequency \( f_c \) of the dominant mode is calculated using MATLAB software from its equivalent circuit, and the cutoff frequency obtained is 22.53 GHz. By adjusting the width \( a \) and height \( (h_1 + h_2) \) which is higher than the first two modes and lower than the second higher-order mode in multilayer RSIW can change the cutoff frequency.

### 2.3. Characterization of CSRR Unit Cell

The proposed chiral octagon-shaped complementary split ring resonators have been used in waveguide. These resonators are placed at center of the transverse plane to excite the dominant mode of the waveguide \( TE_{10} \). The classical synthesis method [27] and multiple tuning procedures [28] are used to determine the line width and gap spacing between the two octagonal CSRRs to obtain required characteristics and resonance frequency \( f_{res} = \frac{C_0}{2 \sqrt{\epsilon_{eff}}} \) where \( l \) is the perimeter of octagonal loop resonator and \( \epsilon_{eff} \) the effective dielectric permittivity. The dimensions of resonators are chosen much smaller than the wavelength of an incident electromagnetic wave, which results in bandstop nature at the resonances. The proposed double ring loaded CSRR as shown in Figure 3 and its dimensions are given below: the side of the outer ring \( a_1 = 1.71 \text{ mm} \), slit width \( s_1 = 0.45 \text{ mm} \), \( s_2 = 0.22 \text{ mm} \), width of the ring \( c_1 = c_2 = 0.25 \text{ mm} \), side of the inner ring \( b_1 = 1.46 \text{ mm} \), and gap \( g = 0.48 \text{ mm} \) between the ring. The two resonators will offer independent resonant frequency at 4.25 GHz, by variation of electrical length of the resonator resulting in change of resonant frequency.

![Figure 3](image.png)

**Figure 3.** (a) Basic unit CSRR (left). (b) Double-ring stub-loaded CSRR (right) (grey region is metal, white region is substrate).

### 3. STRUCTURE OF RSIW WITH OCTAGONAL CSRR AND ITS ANALYSIS

Figure 4 shows three-dimensional view of the structure composed of three metal layers, which are separated from one another by two similar thin dielectric substrate Arlon AD250A (tm) with dielectric constant \( \epsilon_r = 2.5 \); tan \( \delta = 0.0015 \) and total substrate thickness \( h(h_1 + h_2) = 3.2 \text{ mm} \) in which the ridge substrate thickness \( h_1 = 1.6 \text{ mm} \) and 1st layer of substrate thickness \( h_2 = 1.6 \text{ mm} \) as shown in Figure 2. The top and bottom metal layers consisting of a metal surface with common metallic via array. In addition to this bottom PCB with copper layered on both sides having one more metallic via array, consider a section of RSIW with octagonal CSRRs configured in odd number in the middle of a rectangular metallic plate and circular metallic post. A side view of the RSIW is presented in Figure 2(a). An adhesive film is used to stick the two substrates together with thickness 0.03 mm, dielectric constant \( \epsilon_r = 3 \), and loss tangent 0.0012.

A complete resonator contains a guiding part, gap size and line width with a general structure of \( E \)-plane filter loaded and unloaded coupled resonators. This structure consists of two fundamental discontinuities, ridge waveguide to rectangular waveguide, and a multiple-spurious band suppression is performed on the central line of coplanar waveguide transmission line by embedding CSRRs. CSRRs
Figure 4. 3D layout of the proposed inset feed coplanar waveguide to RSIW transition structure. The structure has been fabricated on an Arlon AD250A (tm) substrate with dielectric constant $\varepsilon_r = 2.5$. Actual device length (including access lines) is 68.2 mm.

Figure 5. Simulated surface current distribution on interdigital layer of RSIW (where $W_{\text{slot}} = 0.4$ mm and $l_{\text{slot}} = 4$ mm), (a) at 4.67 GHz, (b) at 15.56 GHz, (c) at 20.7 GHz, (d) magnetic field distribution at the resonance frequency of 20.7 GHz (5th harmonics of CSRRs).

offer two relative independent resonant frequencies which consist of two concentric octagonal individual rings. The outer ring is responsible for the smaller frequency band operation, while the coupling at the higher frequency band is achieved by the inner slot ring with a U-extension. The neighbouring resonators can be coupled through the transverse slot width $W_{\text{slot}}$ as shown in Figure 5. The resonance frequencies of CSRR embedded RSIW structure are observed through mode identification, and analyzed using Ansofts High Frequency Structure Simulation (HFSS) eigen-mode solver. It means that resonant frequency of its dominant mode is extracted at 4.25 GHz. The inset microstrip feed line is used to excite this integrated structure. This combined structure gets tight coupling [23] due to double rings with SIW. However, these filters are made of distributed elements that show some losses which are described
by the unloaded quality factor \( Q = 1976 \). The higher order harmonics (i.e., 3rd and 5th) of CSRRs are 12.75 GHz \& 21.25 GHz under dominant mode of RSIW, respectively, while the cutoff frequencies of SIW and RSIW are 15.56 GHz and 22.53 GHz, respectively. It has been observed that SIW and RSIW cutoff frequencies are less than or equal to the 3rd and 5th order spurious frequency responses of CSRRs. By proper orientation and dimension of resonator, as well as waveguide, up to the 5th order of spurious harmonic can be suppressed. The construction scheme of octagonal CSRR offers an RSIW filter with improved higher order harmonic suppression [29] of a transversal filter using composite right/left-handed (CRLH) transmission line (TL). The electromagnetic energy gathers at center of the resonators for odd mode, and it is accumulated around the resonators for even mode. The resonance frequencies of the array of these chiral resonators provide good passband around the spurious band. As the distance between the resonators is multiple of \( \frac{\lambda}{4} \), it has formed impedance transformer and perfect matching between cascaded resonators. The two concentric split rings which contain a CSRR loaded etched transmission line on a metallic surface are shown in Figure 4. The idea is derived by the application of principle of Babinet to the SRR [3, 19]. The principle states, in a case of complementary structure, roles are interchanged in terms of electrical as well as magnetic fields and currents [27]. The electric field mainly excites small electrical CSRR dimensions [28]. This symmetric CSRR is placed in the direction of propagation to perturb the energy traveling along the central waveguide section. Figures 5(a)–(d) show the odd and even modes of typical distribution of current. Here, the splitting of signals into two paths consists of weighting of different amplitudes and delay in time. It is clearly indicated that the accumulation of electromagnetic energy around the center gap takes place for the odd mode, and it is also found gathered around the outer metallic edges for the even mode. In the above mentioned odd mode, a faster propagation than even mode takes place, i.e., \( \beta_o < \beta_e \). Therefore, if a coupled section with identical even and odd mode electrical lengths, then traveling path for odd mode should be extended to merge the multipath signals at the output where interference is generated.

Spurious band suppression characteristics of proposed filter can be computed by using direct method of electromagnetic parameter retrieval real and imaginary parts of effective electromagnetic parameters (\( \epsilon_{eff} \) and \( \mu_{eff} \)) given by Smith et al. [30] for homogeneous medium, thereby applying the formula as given by Smith et al. [30]:

\[
z = \sqrt[1]{\frac{1 + S_{11}}{2S_{21}}} \quad (6)
\]

\[
n = \frac{1}{kd} \cos^{-1} \left[ \frac{1}{2S_{21}} \left( 1 - S_{21}^2 - S_{11}^2 \right) \right] \quad (7)
\]

where ‘z’ is the impedance, ‘n’ the refractive index, ‘d’ the thickness of the substrate, ‘k’ the wave number, and ‘S_{11}’ & ‘S_{21}’ are the scattering parameters. The effective values of permittivity and permeability are evaluated through the relationship of reflective index ‘n’ and impedance ‘z’ as given by

\[
\epsilon_{eff} = \frac{n}{z} \quad \text{and} \quad \mu_{eff} = nz. \quad (8)
\]

These formulae essentially require to compute \( \epsilon_{eff} \) and \( \mu_{eff} \) from simulated scattering parameter, which are plotted in Figure 6. ‘S_{21}’ is zero in the case of metamaterial based filter because of having complete metal plate as ground. The real and imaginary parts of effective electromagnetic parameters (\( \epsilon_{eff} \) and \( \mu_{eff} \)) are plotted in Figure 6. At peak absorption frequencies \( \epsilon_{eff} \) and \( \mu_{eff} \) change rapidly which show the electric and magnetic resonances.

Figure 6 depicts that materials with negative electrical permittivity and magnetic permeability (individual or both) at their resonance points. The transmission zero position due to the electric resonance occurs at 5.865 GHz, 7.88 GHz, 8.28 GHz, 8.42 GHz, 12.88 GHz, 15.84 GHz, 19.41 GHz & 19.82 GHz; magnetic resonance occurs at 11.68 GHz, 15.38 GHz, 18.48 GHz, 19.28 GHz, 20.11 GHz, 20.7 GHz, 21.58 GHz; and magnetic electric resonance simultaneously occurs at 9.45 GHz, 13.22 GHz, 13.83 GHz, 14.14 GHz, 16.02 GHz, 18.87 GHz & 19.41 GHz. These resonances lead to absorption of propagating power from port 1 to port 2, and hence spurious higher order harmonics get suppressed.
Figure 6. Retrieval of electromagnetic parameter $\epsilon_{\text{eff}}$ and $\mu_{\text{eff}}$ from the simulated $S$-parameters at different resonance frequencies, (a) 5.865 GHz, (b) 6.09 GHz, (c) 7.88, 8.28 & 8.42 GHz, (d) 9.45 GHz, (e) 11.68 GHz, (f) 12.88 GHz, (g) 13.22, 13.83 & 14.14 GHz, (h) 15.38 GHz, (i) 15.84 GHz, (j) 16.02 GHz, (k) 18.48 GHz, (l) 18.87 GHz, (m) 19.28 GHz, (n) 19.41 GHz, (o) 19.82 GHz, (p) 20.11 GHz, (q) 20.7 GHz, (r) 21.58 GHz.
4. EXPERIMENTAL RESULTS AND DISCUSSIONS

The individual building blocks of the designed compact, transversal coupled CSRR planner RSIW filter are mentioned in their respective sections of this paper. In order to experimentally verify the filter characteristics for suppressing spurious responses, a set of these resonators (which is electric, magnetic or both coupling) was etched on a metallized microwave substrate. Its scattering parameters are outlined in three different ranges i.e., (a) — (3.5 GHz–8 GHz), (b) — (8 GHz–15 GHz), (c) — (15 GHz–23 GHz) in Figure 7 and Figure 9, respectively. The response curves for fractional bandwidth, insertion loss, in-band return loss and transmission zero positions are analyzed. The structure simulation is carried out using Ansofts High Frequency Structure Simulation (HFSS), and theoretical analysis is done through MATLAB.

The filter exhibits a highly selective spurious band suppression performance with ten distinctive passbands centering at 4.705, 5.85, 7.045, 8.905, 10.15, 14.35, 17.115, 19.027, 20.26 and 21.335 GHz with 3-dB fractional bandwidths of 36.77%, 5.128%, 18.59%, 9.54%, 6.305%, 0.418%, 2.045%, 0.86%, 0.69%, and 0.889%, respectively. The minimum insertion losses at the ten passbands are −0.508, −2.45, −0.843, −0.487, −0.61, −3.407, −0.12, −2.34, −1.69, and −2.3 dB, respectively. The transmission zero position is shown in Figure 9 at 5.65 GHz, 6.09 GHz, 7.88 GHz, 8.28 GHz, 8.42 GHz, 9.45 GHz, 11.68 GHz, 12.88 GHz, 13.22 GHz, 13.83 GHz, 14.14 GHz, 15.38 GHz, 15.84 GHz, 16.02 GHz, 18.48 GHz, 18.87 GHz, 19.28 GHz, 19.41 GHz, 19.82 GHz, 20.11 GHz, 20.7 GHz & 21.58 GHz.

![Simulated and measured response for fabricated filters.](image-url)
Figure 8. Photographical image of the fabricated filter. (a) Prototype design frame work of proposed filter. (b) Top and (c) bottom views.

Figure 9. Simulated and measured transmission zero response for the multiple-stopband filter for LAN, WLAN, GSM, WIMAX and ISM.
These resonances lead to absorption of propagating power from port 1 to port 2, and hence spurious higher order harmonics get suppressed. The proposed RSIW structure is designed to enhance rejection bandwidth as well as the coupling between the resonators which results in reduction in the dimension of spurious band eliminator filter [31, 32].

Photographs of the fabricated filter are shown in Figure 8 with inset feed SMA connectors. Photolithography process on a printed circuit board substrate material Arlon AD250A (tm) with dielectric constant $\epsilon_r = 2.5$ and tan $\delta = 0.0015$, in which the ridge substrate thickness $h_1 = 1.6$ mm, and

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<th>Table 1. Summary of in-band bandpass filter performance.</th>
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<td>Passband (GHz)</td>
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<th>Table 2. Comparison between proposed multiband bandpass filter with already reported filters.</th>
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<td>Proposed Work</td>
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1st layer of substrate thickness $h_2 = 1.6$ mm. Actual device length (including access lines) is 68.2 mm. Transmission response and performances of the fabricated filter are measured by using an Anritsu VNA Master Model (S820E) (1–40 GHz, Anritsu Corp.). The simulated results of full wave structure filter are compared with measurement ones and shown in Figure 7 and Figure 9, also shown in Table 1.

The frequencies shift as in measured result is due to uncertainty in dielectric value of the substrate used and the possible deviation of dimension of the metallic layers from their assumed values (e.g., due to over or under etching). This shift is also contributed by dielectric loss, conductor loss, radiation loss as well as loss of SMA connector. The measured passband central frequencies and fractional bandwidth are depicted in Table 1, and Table 2 compares the proposed bandpass filter with previously reported literature. Good agreement between measured and simulated results thus validate the proposed design concept.

The fabricated filter overall dimension excluding feed port is only 36.2 mm $\times$ 14 mm which amounts to $1.36\lambda_g \times 0.52\lambda_g$, where $\lambda_g$ is the guided wavelength. The prototype configuration of quarter-wavelength octagonal CSRR resonators as depicted in Figure 3 introduces stopband at all odd harmonics. As designed, the cascaded resonator has eliminated up to 5th harmonics of 4.25 GHz (21.25 GHz) and 3rd harmonics at 12.75 GHz shifted to 20.7 GHz and 11.68 GHz of CSRR, respectively.

However, the proposed multiple passband bandpass structure could not maintain constant bandwidth as observed due to low-quality factor. This filter can be effectively used for the application of multimode modern communication system and to suppress interference from LAN, WLAN, GSM, WiMAX and variable stopband for ISM interference.

5. CONCLUSIONS

This paper presents the design and analysis of a novel RSIW bandpass filter having dimension $1.36\lambda_g \times 0.52\lambda_g$ with octagonal CSRR. Compared with conventional resonator, the cascaded configuration of dual-pole resonators of RSIW filter provides compactness and harmonica control, and the reduction in bandwidth of stopband is improved through proper positioning of transmission zero. The proposed filter has suppressed the 3rd and 5th harmonics of resonance frequency of CSRR to 11.68 GHz and 20.7 GHz, respectively. Full wave structure simulated results are compared with measured ones with close agreement. The proposed filter can be effectively used for the application of multimode modern communication system and used to suppress interference from LAN, WLAN, GSM, WiMAX and variable stopband for ISM interferences.

REFERENCES


