

Anti-Interference Circuit Configuration for Concurrent Dual-Band Operation in High-Efficiency GaN HEMT Power Amplifier

Haruka Nishizawa, Yoichiro Takayama, Ryo Ishikawa^{*}, and Kazuhiko Honjo

Abstract—An interference on a concurrent 4.5-/8.5-GHz-band operation has been effectively suppressed by applying a duplexer technique to high-efficiency GaN HEMT power amplifiers. Each harmonic was also suppressed by a harmonic reactive termination used for a high-efficiency operation. The developed concurrent dual-band amplifier delivered a 73% drain efficiency and a 61% power-added-efficiency (PAE) with 32 dBm output power at 8.24 GHz and a 69% drain efficiency and a 64% PAE with 37 dBm output power at 4.70 GHz. Undesired cross-modulation and intermodulation signals at nearby bands occurring due to dual-band interaction have been successfully suppressed to less than -41 dBc.

1. INTRODUCTION

Fifth generation (5G) mobile communication systems are becoming a powerful solution to the problem of increased demand for communication network capacity. In 5G systems, some frequency bands expanded from microwave to millimeter-wave have been simultaneously used to some communications. Consequently, carrier aggregation becomes one of the keywords for efficient operations, in which concurrent accesses to various ultra-fast wireless systems with sufficiently low latency are required. To realize 5G systems, difficult tasks have to be completed in power amplifier development. For power amplifiers, a multi-band concurrent operation with extremely low distortion characteristics is required, as well as a high-efficiency performance.

As high-efficiency amplifiers on a single-tone operation, class-F [1], class-E [2], and class-J [3] are well known. These are preventing an overlap between voltage and current waveforms at a transistor by controlling termination impedances at the fundamental and harmonic frequencies. For the harmonics, a reactive termination becomes a necessary condition [4, 5]. For a dual-band operation where two signals are not simultaneously input, all related frequencies have to be treated. It induces a complexity and enlargement of a matching circuit [6–8]. For a concurrent operation, since multiple fundamental frequency signals are simultaneously input, a very long time frame with beat phenomena has to be considered. In this case, a large output power back-off operation with sacrifice of power efficiency is imposed. In addition, the multiple fundamental frequency signals interfere each other, and the RF signal is distorted [9]. Such distortion contains not only the harmonic frequency components of each frequency band but also the cross- and inter-modulation frequency components for all frequency bands. Thus, even for the concurrent mode operation, at least the optimum waveforms should be retained at a transistor output electrode, and no harmonic signal should be provided to the load. Owing to the serious distortion problem, there were few papers which reported power amplifier performances evaluated by simultaneously inputting the dual-band signals and varying the input power balance to investigate the mutual interference, even though “concurrent power amplifier” was included in a title.

Overcoming this issue, a frequency duplexer concept was tentatively applied [10]. In addition, the duplexer function was embedded to the matching circuit for an MMIC integration [11]. It was

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confirmed that this concept was very effective and practical to prevent the cross- and inter-modulation though novelty of the concept is not so high. In [10], the circuit size became comparable even if the duplexer function was added, due to simplified amplifier design, in comparison with the conventional dual-band amplifier design. In this paper, to enhance the efficiency performance and decrease the circuit size more, a concurrent 4.5-/8.5-GHz dual-band amplifier that two high-efficiency power amplifiers were combined with high isolation input/output duplexer circuits was fabricated with low-loss alumina circuits. Since individual amplifiers do not deliver harmonic frequency signals to the load, the output from the concurrent dual-band amplifier delivers very low spurious signals, including harmonic frequency, cross- and inter-modulation signals, which was experimentally verified. In the verification, each band signal was almost isolated, and the spurious signals were clearly suppressed, in comparison with those of a conventional wide-band amplifier, on the concurrent operation.

2. ANTI-INTERFERENCE FOR CONCURRENT OPERATION

Multi-band power amplifiers have been designed based on two approaches. The first is based on multi-band impedance matching techniques which require a complicated circuit design regarding fundamental and harmonic frequency signals for a single transistor (Group I) [6–9]. The second is a wide-band amplifier design technique covering the required multiple frequency bands based on Chebyshev impedance matching theory or a distributed amplifier design technique (Group II) [12, 13]. However, these approaches share a major problem, namely, suffering from poor distortion characteristics when two frequency signals are simultaneously input to a single amplifier. Figure 1 shows the schema of a distortion signal generation in conventional amplifiers due to nonlinearity of the transistor.

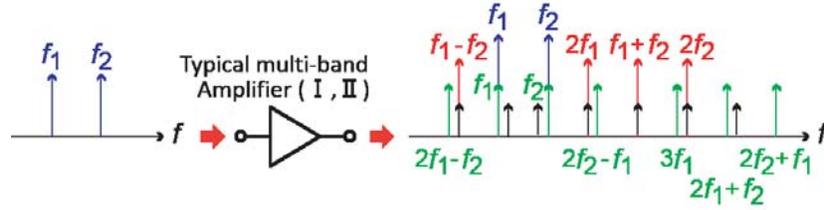


Figure 1. Schema of a distortion signal generation in conventional amplifiers due to nonlinearity of the transistor.

An input signal $x(t)$ with different amplitudes and angular frequencies is represented as follows:

$$x(t) = A_1 \cos \omega_1 t + A_2 \cos \omega_2 t. \quad (1)$$

Since the output $y_n(t)$ of the transistor has nonlinearity, it is represented by Volterra series expansion [14], as follows:

$$y_n(t) = \int_{-\infty}^{\infty} h_1(\tau) x(t - \tau) d\tau + \iint_{-\infty}^{\infty} h_2(\tau_1, \tau_2) x(t - \tau_1) x(t - \tau_2) d\tau_1 d\tau_2 + \dots, \quad (2)$$

where $h_n(\tau_1, \dots, \tau_n)$ is the Volterra kernel that corresponds to the n -th order impulse response. It is represented by the Fourier transform of the nonlinear transfer function as

$$H_n(\omega_{q1}, \dots, \omega_{qn}) = \int \dots \int_{-\infty}^{\infty} h_n(\tau_1, \dots, \tau_n) e^{-j(\omega_{q1}\tau_1 + \dots + \omega_{qn}\tau_n)} d\tau_1 \dots d\tau_n. \quad (3)$$

The output signal is obtained by the sum of the first- to n th-order nonlinear components. When nonlinear transfer functions up to the third order are calculated, the following frequency component appears:

$$\begin{aligned} H_1 &: \omega_1, \omega_2 \\ H_2 &: |\omega_1 \pm \omega_2|, 2\omega_1, 2\omega_2 \\ H_3 &: \omega_1, \omega_2, |2\omega_1 \pm \omega_2|, |2\omega_2 \pm \omega_1|, 3\omega_1, 3\omega_2. \end{aligned} \quad (4)$$

When H_3 is calculated considering up to the third order, $H_3(\omega_1) \propto (3A_1^2 + 6A_1A_2)$ is derived. From this, ω_1 signal is affected by ω_2 signal, which means a cross-modulation. It also induces distortion, and it cannot be suppressed by a filter. In addition, as shown in Figure 1, if the frequencies of the modulation signals largely depart from the operation frequencies, they can be reduced by using appropriate filters. However, it is difficult to reduce the signals near the operation frequencies. As a report, the interference at a weak nonlinear region was suppressed by using shunt circuits at $|\omega_1 \pm \omega_2|$ on the concurrent operation [15]. However, it was insufficient at a saturation region. Consequently, all signal paths related to the nonlinear transfer functions must be eliminated in concurrent multi-band power amplifiers.

According to the above-mentioned mechanism and suggestion, an anti-interference architecture, shown in Figure 2(a), has been proposed for concurrent dual-band amplifiers. High-efficiency amplifiers at two different frequency bands with harmonic treatment circuits are arranged in parallel, and the input and output ends of each amplifier are coupled by the duplexer circuits. The different operation frequencies are rejected, and cross-/inter-modulation are rejected by the duplexer circuit. Only the fundamental frequency is input to the amplifier. In addition, harmonics are suppressed by the harmonic treatment circuit of the amplifiers. Therefore, the output frequencies from the amplifier ideally are only the fundamental frequencies, as shown in Figure 2(b).

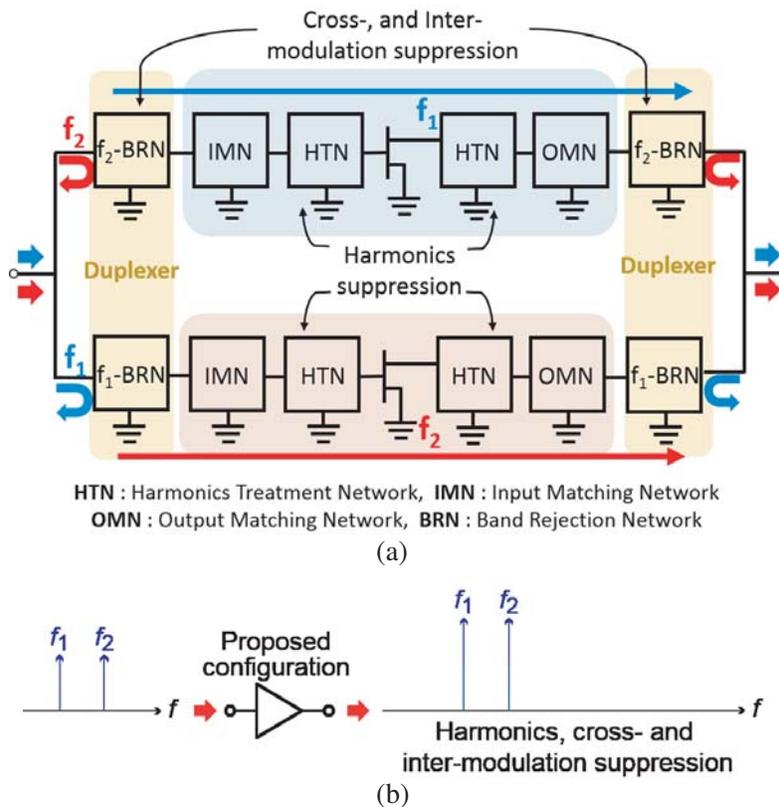


Figure 2. (a) Anti-interference configuration and (b) spectrum on a large-signal operation for the proposed configuration.

3. DESIGN OF INDIVIDUAL COMPONENTS

In the research and development project conducted by the Japanese government (Ministry of Internal Affairs and Communications) for the 5G system, both the low-SHF band (4.5–4.9 GHz) and high-SHF band (8.4–8.5 GHz) have been considered. Thus, a concurrent dual-band power amplifier operating at 4.5- and 8.5-GHz bands has been developed. Each function of the proposed configuration can be

designed, fabricated, and evaluated, individually in advance of the fabrication of the concurrent dual-band amplifier. All of the duplexer circuits, low-SHF band amplifier, and high-SHF band amplifier are fabricated with a low-loss alumina substrate ($\epsilon_r = 10.05$, $\tan \delta = 0.0005$; substrate thickness: 0.5 mm; conductor thickness: 5 μm) to improve the efficiency performance.

Figure 3(a) shows the duplexer circuit configuration. The low-loss duplexer circuit was designed to realize sufficient isolation between a f_1 -band port and a f_2 -band port with two quarter-wavelength open stubs ($\lambda_1/4$ and $\lambda_2/4$) and their reactance compensation stubs. In the f_1 blocking circuit, the parallel combined impedance of the open stubs is given by

$$\frac{Z_1 Z_2}{Z_1 + Z_2} = -j \frac{Z_{01} Z_{02} \cot(\beta \lambda_1/4) \cot(\beta l_{x1})}{Z_{02} \cot(\beta \lambda_1/4) + Z_{01} \cot(\beta l_{x1})} \quad (5)$$

Equation (5) is always zero at f_1 . On the other hand, it has to be open at f_2 . Thus,

$$Z_{02} \cot\left(\frac{2\pi}{\lambda_2} \frac{\lambda_1}{4}\right) + Z_{01} \cot\left(\frac{2\pi}{\lambda_2} l_2\right) = 0 \quad (6)$$

has to be fulfilled. Under this condition, the line from port 3 to port 1 becomes a simple 50- Ω line at f_2 . Similarly, the line from port 3 to port 2 also becomes a simple 50- Ω line at f_1 . Figure 3(b) shows a fabricated duplexer circuit. By adjusting the characteristic impedances (Z_{01-04}) of the open stubs, an unnecessary attenuation pole occurring near 8.5 GHz at port 1 was moved to a lower frequency, and the low-loss 8.5 GHz pass-band was expanded. Figure 4 shows the characteristics of the fabricated 4.5-/8.5-GHz-band duplexer circuit. The minimum transmission loss at each frequency band was 0.3 and 0.4 dB at 4.51 and 8.55 GHz, respectively. In addition, high isolation was obtained between the two bands.

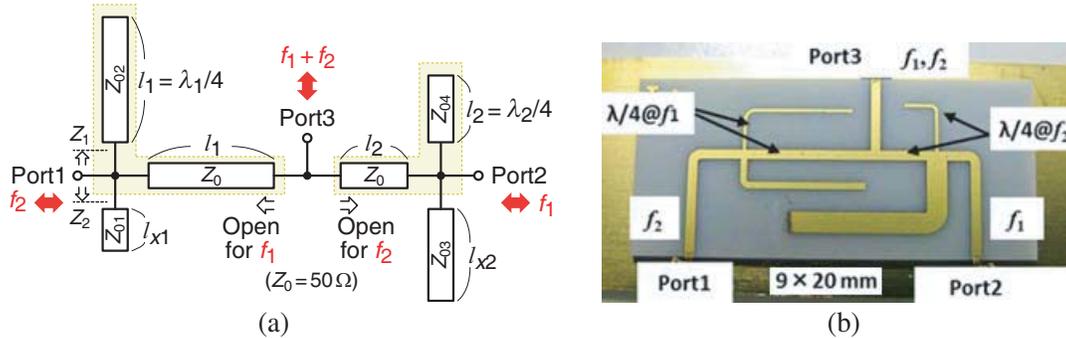


Figure 3. Designed 4.5-/8.5-GHz-band duplexer: (a) circuit configuration and (b) photograph.

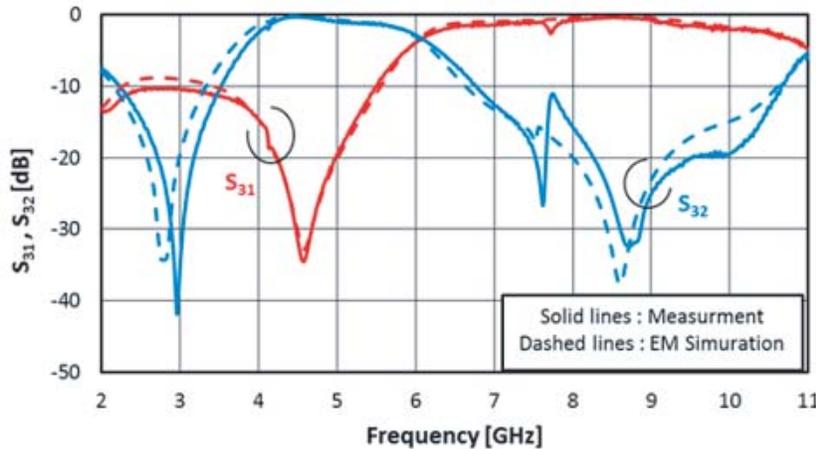


Figure 4. Simulated and measured transmissions and rejections on the 4.5-/8.5-GHz-band duplexer circuit.

For amplifier blocks in the anti-interference configuration, suppressing unnecessary frequencies is necessary, as well as realizing high-efficiency performance. Each 4.5-/8.5-GHz-band GaN HEMT amplifier constituting the concurrent dual-band amplifier was designed and fabricated based on the harmonic reactive termination technique [4, 5]. Since the harmonics are reflected to the transistor side by the harmonic reactive termination, the harmonics do not leak to the load.

Figure 5 shows the circuit configuration of the harmonic reactive termination type amplifier. For the input- and output-matching circuits in the amplifier, up to the second- and third-order harmonics were treated, respectively, by using quarter-wavelength open stubs. A GaN HEMT bare chip (CGHV1J006D, Cree Inc.) was used for the 4.5-GHz-band amplifier. The optimum impedance conditions of the input- and output-matching circuits for the transistor were estimated in load-/source-pull simulation by using Keysight ADS circuit simulator. A large-signal transistor model provided by the vender was used. For the actual circuit layout design, an electromagnetic (EM) simulation was carried out by using Keysight EMPro simulator.

Figure 6 shows a fabricated 4.5-GHz-band GaN HEMT amplifier. In the input-matching circuit, a radial stub was used for the second harmonic termination, and a step impedance line was used for the fundamental wave matching. In the output-matching circuit, a radial stub was used for the fundamental

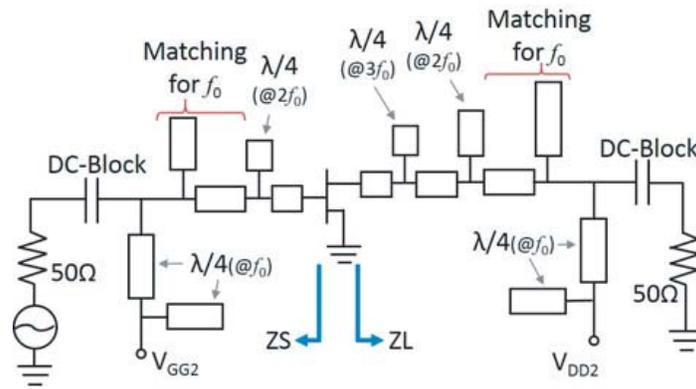


Figure 5. Circuit configuration of the harmonic reactive termination type amplifier.

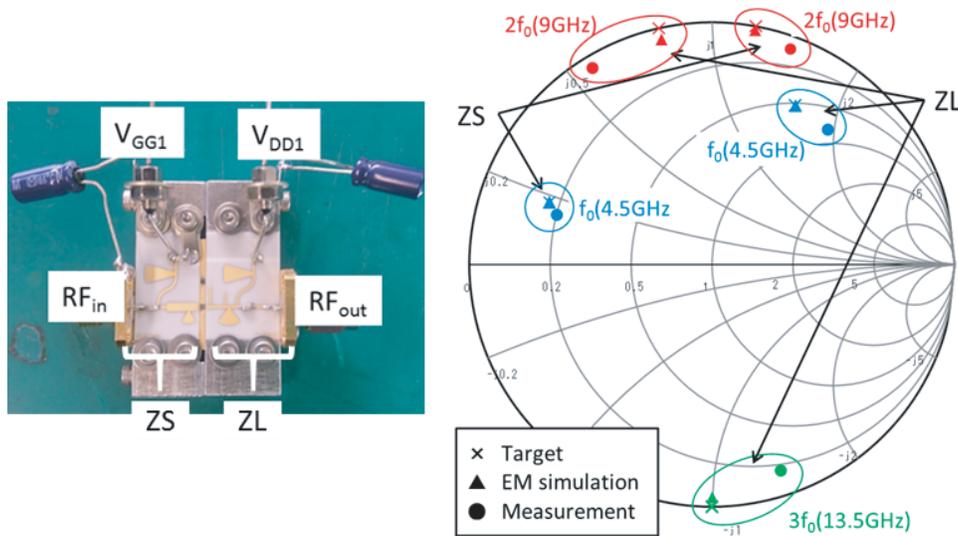


Figure 6. Fabricated 4.5-GHz-band GaN HEMT amplifier, and impedance conditions of the input and output matching circuits.

wave matching. The simulated and measured impedance conditions for the input- and output-matching circuits are also shown in Figure 6. The optimum impedance conditions estimated by the simulation are indicated as target values. From Figure 6, it is confirmed that the simulated values derived from the EM simulation and the measured ones are close to the target values.

Figure 7 shows the measured frequency dependence of the maximum drain efficiency (η_{Dmax}), maximum power-added efficiency (PAE_{max}), and saturation output power for the fabricated 4.5-GHz-band GaN HEMT amplifier. The drain voltage (V_{DD1}) and gate voltage (V_{GG1}) conditions were set to $V_{DD1} = 40\text{ V}$, and $V_{GG1} = -2.5\text{ V}$, respectively. The fabricated amplifier exhibited an η_{Dmax} of 73% and a PAE_{max} of 67% at 4.72 GHz with a saturation power of 37 dBm. In addition, a PAE of more than 60% was obtained from 4.66 to 4.76 GHz.

For the 8.5-GHz-band, a bare chip GaN HEMT (gate width: $96\ \mu\text{m} \times 10$ fingers, provided by Toshiba Corp.) was used. Design and fabrication procedures for a 8.5-GHz-band GaN HEMT amplifier were similar to those for the 4.5-GHz-band amplifier. Figure 8 shows a fabricated 8.5-GHz-band GaN HEMT

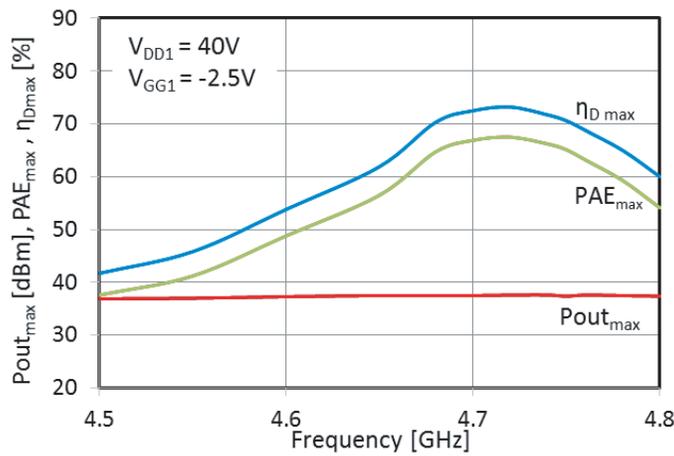


Figure 7. Fabricated 4.5-GHz-band GaN HEMT amplifier, and impedance conditions of the input and output matching circuits.

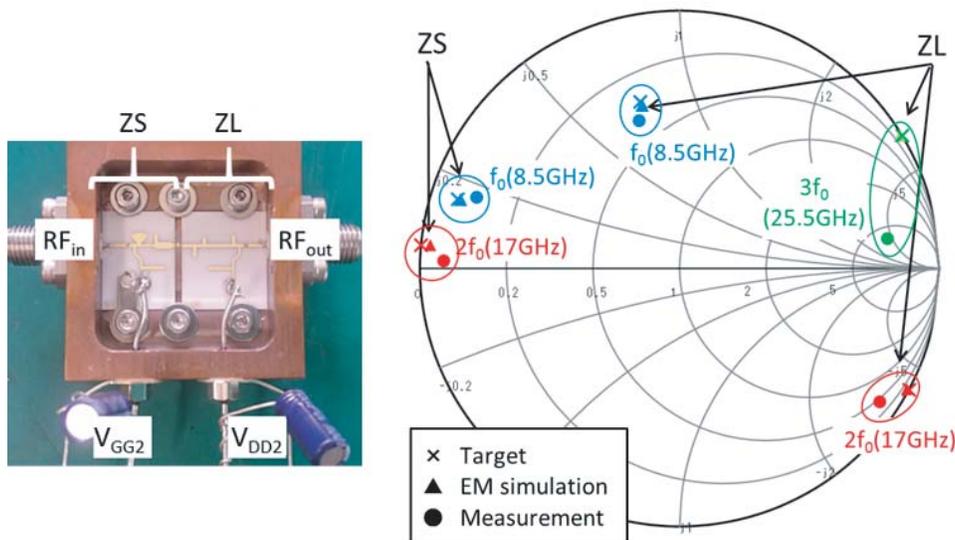


Figure 8. Fabricated 8.5-GHz-band GaN HEMT amplifier, and impedance conditions of the input and output matching circuits.

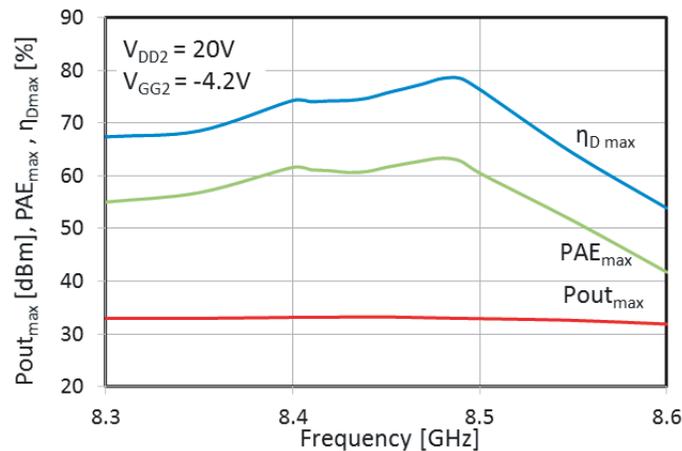


Figure 9. Measured frequency dependence of $\eta_{D_{max}}$, PAE_{max} , and saturation power for the 8.5-GHz-band amplifier.

amplifier. The simulated and measured impedance conditions for the input- and output-matching circuits are also shown in Figure 8. From Figure 8, it is confirmed that the simulated values derived from EM simulation and the measured ones are close to the target values at the high-frequency band.

Figure 9 shows the measured frequency dependence of $\eta_{D_{max}}$, PAE_{max} , and saturation output power for the fabricated 8.5-GHz-band GaN HEMT amplifier. The drain and gate voltage conditions were set to $V_{DD2} = 20$ V and $V_{GG2} = -4.2$ V, respectively. The fabricated amplifier exhibited an $\eta_{D_{max}}$ of 78% and a PAE_{max} of 63% at 8.48 GHz with a saturation power of 33 dBm. In addition, a PAE of more than 60% was obtained from 8.40 to 8.50 GHz.

4. CONCURRENT MODE OPERATION FOR 4.5-/8.5-GHZ DUAL-BAND AMPLIFIER

By connecting the individually designed and fabricated duplexer circuits and 4.5-/8.5-GHz-band amplifiers, a concurrent dual-band amplifier was constructed. Figure 10 shows a fabricated 4.5-/8.5-GHz-band concurrent dual-band amplifier. Figure 11 shows the experimental setup for measuring

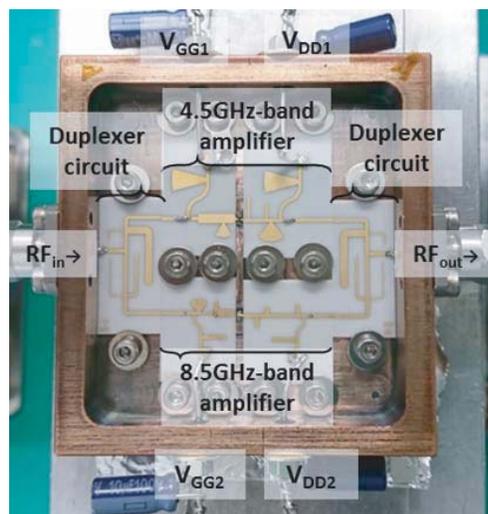


Figure 10. Fabricated 4.5-/8.5-GHz-band concurrent dual-band amplifier.

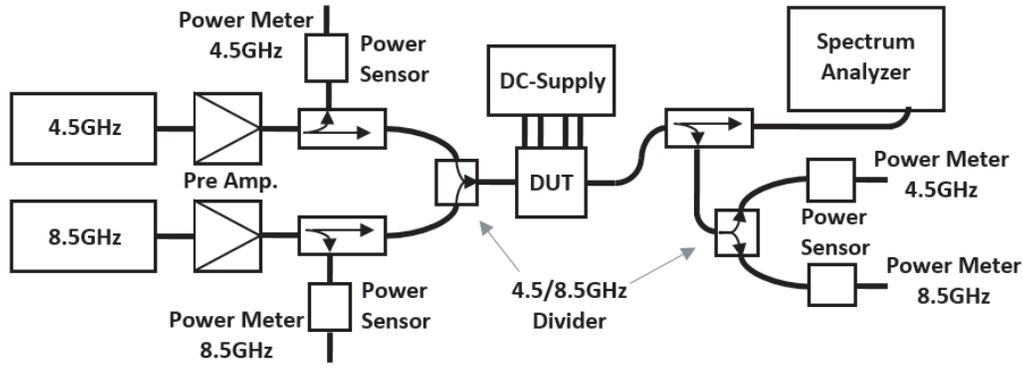


Figure 11. Experimental setup for measuring the concurrent mode operation.

the concurrent mode operation. To make the concurrent input signal, individual output signals from the signal generators (E4438C, Keysight and SGS100A, R&S) were combined by using the fabricated duplexer. To measure each output power at each band by using power meters (E4417A, Keysight), the output signal from the DUT is separated to each band by using the duplexer. The output spectrum signal was measured by using a spectrum analyzer (E4440A, Keysight). As a result, the characteristics of each frequency band amplifier in the concurrent mode were measured. The DC bias conditions were set to $V_{DD1} = 40$ V and $V_{GG1} = -2.80$ V for the 4.5-GHz-band amplifier, and $V_{DD2} = 20$ V and $V_{GG2} = -4.2$ V for the 8.5-GHz-band amplifier, respectively.

The measured frequency dependence characteristics of the $\eta_{D\max}$, PAE_{\max} , saturation power, and gain at PAE_{\max} for the concurrent amplifier with each one-tone input are shown in Figure 12. The fabricated amplifier exhibited an $\eta_{D\max}$ of 68% and PAE_{\max} of 63% with 37 dBm output power at 4.70 GHz, and an $\eta_{D\max}$ of 73% and PAE_{\max} of 60% with 32 dBm output power at 8.24 GHz.

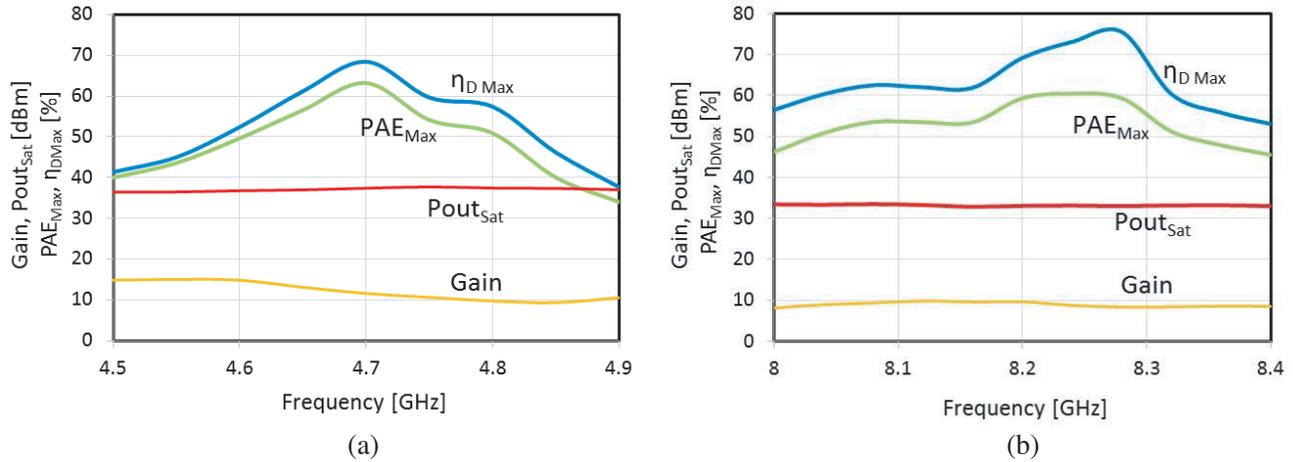


Figure 12. Measured frequency dependence of $\eta_{D\max}$, PAE_{\max} , saturation power, and gain at PAE_{\max} for the concurrent amplifier with one-tone input of (a) 4.5-GHz band and (b) 8.5-GHz band.

The measured $\eta_{D\max}$, PAE_{\max} , and input-output power response characteristics for the 4.70-/8.24-GHz concurrent amplifier on a concurrent operation are shown in Figure 13(a). The fabricated amplifier exhibited an max of 69% and PAE_{\max} of 64% at 4.70 GHz, and an $\eta_{D\max}$ of 73% and PAE_{\max} of 61% at 8.24 GHz, which had almost the same performance for each single tone operation. Therefore, it was confirmed that each band operation did not disturb another band. By the way, as a comparison, a commercial 2–18 GHz 8 W power amplifier (AMT-A0030, Agile Microwave Technology Inc.) used as a preamplifier was tested on the concurrent operation. The measured input-output power response

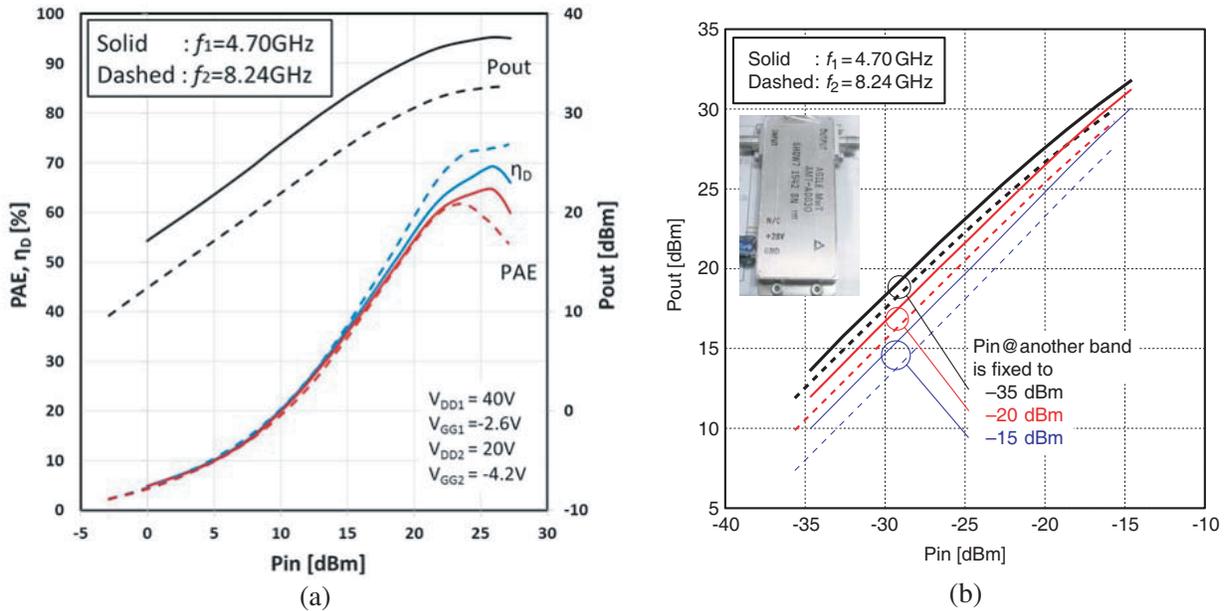


Figure 13. (a) Measured η_{Dmax} , PAE_{max} and input-output power response characteristics for the 4.70-/8.24-GHz concurrent amplifier on a concurrent operation. (b) Measured input-output power response for a commercial broadband amplifier, as a comparison (another band P_{in} is fixed.).

characteristics for the commercial amplifier are shown in Figure 13(b). When another band input power was changed, the input-output power response characteristics were clearly changed due to the cross-modulation.

Figure 14(a) shows the measured output spectrum on the concurrent operation for the concurrent amplifier at the saturation output points of both bands. From the output spectrum, the harmonics and inter-modulation waves are suppressed in comparison with the fundamental frequency waves. In particular, nearby spurious signals were suppressed to less than -41 dBc. On the other hand, when the concurrent signal was applied to the commercial amplifier, relatively large spurious signals appeared, as shown in Figure 14(b), though the amplifier did not reach a saturation. Consequently, it was confirmed that the proposed anti-interference configuration was very useful and practical for the concurrent operation.

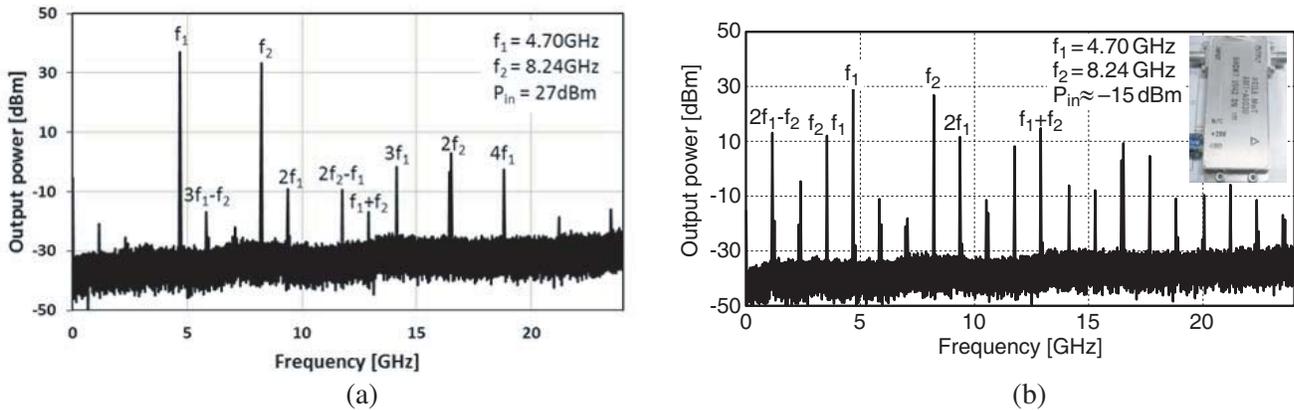


Figure 14. Measured output spectrum (a) for the concurrent amplifier at the saturation output points of both bands, and (b) for the commercial broadband amplifier.

Finally, performance summary and comparison with the other concurrent dual-band amplifiers are listed in Table 1. From the comparison, good performances were obtained, especially in efficiency, since a single-band high-efficiency design could be applied in the proposed configuration.

Table 1. Performance summary and comparison with the other concurrent dual-band amplifiers.

	Concurrent operation (Two-band signals were simultaneously inputted.)					Circuit size (MMIC@Ref. [11])
	Frequencies f_1/f_2 [GHz]	$\eta_{D_{\max}}$ @ f_1/f_2	PAE $_{\max}$ @ f_1/f_2	P $_{\text{out}}$ [dBm] @ f_1/f_2	Spurious suppression*	
Ref. [6]	1.96/3.5	$\simeq 25/25\%$	22.9/21.2%	36.4/36.4	NA	$200 \times 75 \text{ mm}^2$
Ref. [7]	No evaluation					$90 \times 110 \text{ mm}^2$
Ref. [9]	0.828/1.494	NA	41.7% (Total)	$\simeq 25/22$	NA	$28 \times 24 \text{ mm}^2$
Ref. [10]	4.49/8.42	64/54%	61/41%	36/36	-38 Bc	$63 \times 40 \text{ mm}^2$
Ref. [11]	4.56/8.16	45/37%	38/30%	31/31	-27 dBc	$1.9 \times 1.5 \text{ mm}^2$
Ref. [15]	0.81/2.16	$\simeq 73\%$ (Total)	$\simeq 65\%$ (Total)	$\simeq 35/29$	$\simeq -33 \text{ dBc}$	$86 \times 48 \text{ mm}^2$
Ref. [16]	No evaluation					NA
Ref. [17]	No evaluation					$52 \times 51 \text{ mm}^2$
Ref. [18]	1.9/2.6	72.8% (Total)	NA	$\simeq 37/37$	NA	NA
Ref. [19]	No evaluation					NA
Ref. [20]	1.9/2.6	$\simeq 56\%$ (Total)	NA	$\simeq 39.5/39.5$	NA	$69 \times 42 \text{ mm}^2$
Ref. [21]	0.96/1.51	46% (Total)	NA	$\simeq 27/27$	NA	NA
This work	4.7/8.24	68/73%	63/60%	37/32	-41 dBc	$43 \times 37 \text{ mm}^2$

*for nearby spurious signals on a saturated concurrent operation

(Note that DC power cannot be separated for each frequency in Ref. [6, 9, 15, 18, 20, 21])

5. CONCLUSION

An anti-interference configuration on a concurrent dual-band high-efficiency power amplification operation was evaluated. In comparison with a commercial broadband amplifier, it was confirmed that cross- and inter-modulation can be effectively suppressed. The fabricated concurrent 4.5-/8.5-GHz-band GaN HEMT amplifier exhibited a maximum drain efficiency of 69% and 73%, and a maximum power-added efficiency of 64% and 61% at 4.70 GHz and 8.24 GHz, respectively, for the concurrent operation with a highly suppressed near-band inter-modulation signal level of less than -41 dBc in a saturated operation region.

ACKNOWLEDGMENT

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