

## COMPACT AND HARMONIC SUPPRESSION OPEN-LOOP RESONATOR BANDPASS FILTER WITH TRI-SECTION SIR

J. Zhang, J.-Z. Gu, B. Cui, and X. W. Sun <sup>†</sup>

Shanghai Institute of Microsystem & Information Technology  
CAS  
Shanghai 200050, China

**Abstract**—A compact open-loop resonator bandpass filter with suppression of the second and the third harmonics is demonstrated in this paper. This novel filter is based on a Tri-Section SIR to achieve size minimization and suppressed spurious response. The simulations and measurements of a 0.9 GHz prototype bandpass filter are presented. The measured results agree well with simulation and calculation.

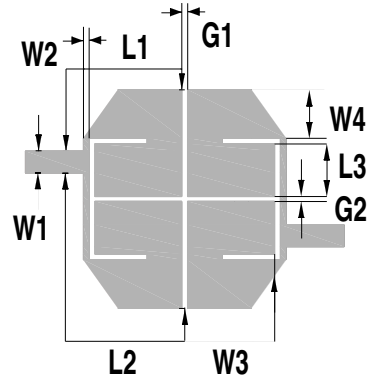
### 1. INTRODUCTION

As one of the key components in RF front-ends, bandpass filters with compact size, good performance, and low cost are highly demanded. The open-loop resonator bandpass filter which was first proposed by J.-S. Hong in 1995 [1,2] has been widely used in many microwave and wireless systems due to its planar structure, narrow realizable bandwidth and easy fabrication process.

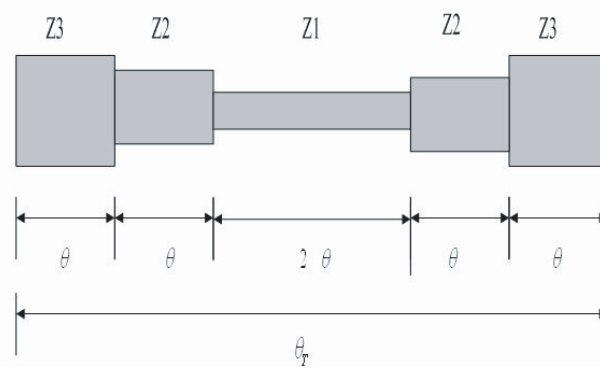
In order to suppress spurious response, a number of technologies have been investigated. Stepped impedance resonators (SIR) [3,4], DGS(defected ground structure) [5,6], complementary split ring resonators (CSRRs) [7,8] are widely used in filter design. In the previous work [9], an open-loop resonator structure with capacitive termination has been proposed. The filters constructed using the prototype is compact in size and provide very good in-band and out-band characteristics. However, there are no theoretical formulae to support the design procedure and it takes times of simulation to attain suitable parameters.

---

<sup>†</sup> The first three authors are also with Graduate School of the Chinese Academy of Sciences.



**Figure 1.** Layout of the proposed open-loop resonator bandpass filter with Tri-section SIR.



**Figure 2.** Tri-section stepped impedance resonator.

In this letter, a novel open-loop resonator filter is introduced. This filter is constructed with a Tri-Section SIR. Compared with the conventional SIR, it can offer more design flexibility and exhibit better characteristics with simple design process.

## 2. CIRCUIT DESIGN

The schematic diagram of the proposed bandpass filter is shown in Figure 1, consists of a Tri-section SIR. The feature of the high order spurious response suppression originates from multiple resonances of the stepped-impedance resonator as shown in Figure 2, where the Tri-section SIR has the total electric length  $\theta_T = 6\theta$  and impedance ratio

$K_1 = Z_3/Z_2$ ,  $K_2 = Z_2/Z_1$ . It has been proven that resonances occurs under the conditions given below [10].

$$\theta = \tan^{-1} \left( \sqrt{\frac{K_1 K_2}{K_1 + K_2 + 1}} \right) \quad (1)$$

$$\theta_T = 6 \tan^{-1} \left( \sqrt{\frac{K_1 K_2}{K_1 + K_2 + 1}} \right) \quad (2)$$

the first spurious “ $f_{s1}$ ” occurs at

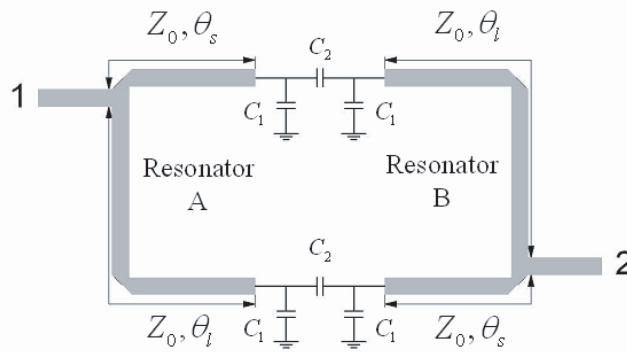
$$f_{s1} = \frac{\theta_{s1}}{\theta_0} f_0 \quad (3)$$

where

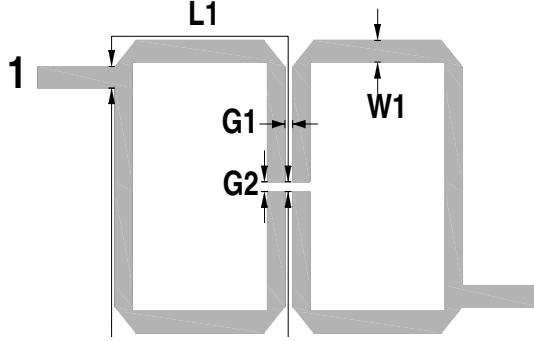
$$\theta_{s1} = \tan^{-1} \sqrt{\frac{(K_1 + 1)^2 (K_2 + 1) + K_2^2 K_1}{K_2^2 + K_1 K_2 + K_2}} \quad (4)$$

It implies that by properly determining the impedance ratio  $k_1$ ,  $k_2$ , the relative resonance frequency ratio can be easily attained.

As a demonstration example, a bandpass filter for 900M application was designed. The initial circuit dimensions calculated from the formulae (1)–(4) which are  $k_1 = 0.5$ ,  $k_2 = 0.295$ ,  $\theta = 25.9^\circ$ , were optimized by a 2.5D EM simulator, ADS Momentum, to include the effects of layout discontinuities, unequal odd- and even-mode velocities, and parasitic coupling among non-adjacent microstrip lines. The optimized circuit parameters are  $Z_3 = 13.2 \Omega$ ,  $Z_2 = 28.2 \Omega$ ,



**Figure 3.** Equivalent circuit model of electrical coupling for a skew-symmetric feed structure.



**Figure 4.** Layout of open-loop resonator bandpass filter.

$Z_1 = 89 \Omega$  ( $k_1 = 0.47$ ,  $k_2 = 0.316$ ),  $\theta_1 = 49.5^\circ$ ,  $\theta_2 = 26.3^\circ$ ,  $\theta_3 = 13^\circ$ . Clearly, the optimized circuit parameters  $k_1, k_2, \theta_1, \theta_2$  agree with the theoretical calculation result well, while  $\theta_3$  deflects quite a lot. The deflection is due to the inner coupling [9]. The coupling gap between the two  $Z_3$  stubs are modeled as a  $\pi$ -network with  $C_1$  and  $C_2$  shown in Figure 3. The value of  $C_1$  is usually small and neglected in the analysis, but its value increases in the proposed structure.

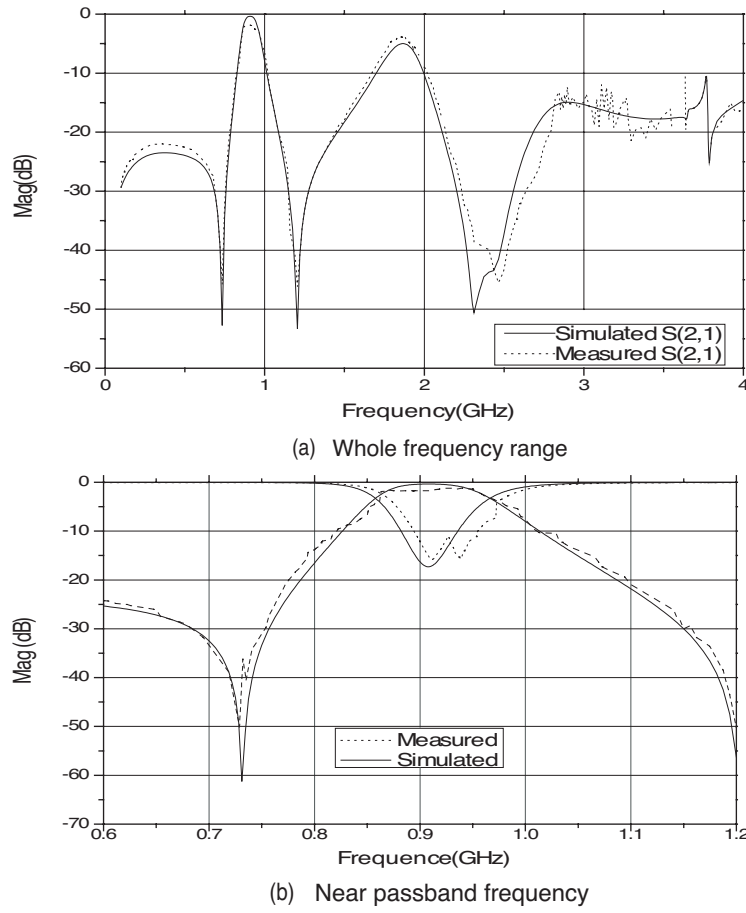
### 3. SIMULATED AND MEASURED RESULT

For comparison, an open-loop resonator bandpass filter and a proposed one with a Tri-Section SIR are both designed and fabricated on the substrate of  $\varepsilon_r = 2.65$ , thickness  $h = 1000 \mu\text{m}$  and loss tangent of 0.003. The  $S$ -parameters were measured using an Agilent E8358A PNA including two SMA connectors.

Fig. 1 shows the layout of the proposed filter, where  $L1 = 20.08 \text{ mm}$ ,  $L2 = 30.08 \text{ mm}$ ,  $L3 = 6.5 \text{ mm}$ ,  $W1 = 2.7 \text{ mm}$ ,  $W2 = 1 \text{ mm}$ ,  $W3 = 15 \text{ mm}$ ,  $W4 = 6 \text{ mm}$ ,  $G1 = 0.3 \text{ mm}$ ,  $G2 = 0.6 \text{ mm}$  ( $G1$  and  $G2$  can be deduced by calculating the coupling coefficient of the coupling microstrip), total size is  $926.1 \text{ mm}^2$ .

Fig. 4 shows the configuration of a skew-symmetric feeding open-loop resonator bandpass filter with electrical coupling, where  $L1 = 40.25 \text{ mm}$ ,  $L2 = 67.55 \text{ mm}$ ,  $W1 = 2.7 \text{ mm}$ ,  $G1 = 0.3 \text{ mm}$ ,  $G2 = 0.3 \text{ mm}$ , total size is  $1939.3 \text{ mm}^2$ .

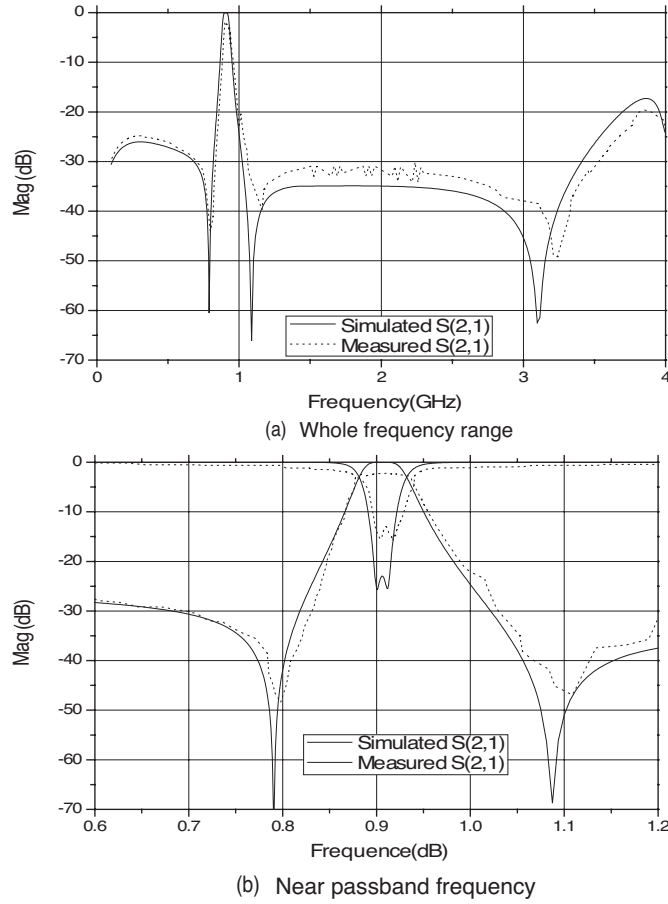
Fig. 5 illustrates the measured and simulated  $S$ -parameters of the skew-symmetric feeding open-loop resonator bandpass filter. The centre frequency is  $0.9 \text{ GHz}$ . The figure shows that the insertion and return loss are better than  $1.4 \text{ dB}$  and  $10.1 \text{ dB}$ , respectively. The 2nd harmonic suppression is as bad as  $3.6 \text{ dB}$  only.



**Figure 5.** Simulated and measured  $S$  parameter of conventional open-loop resonator bandpass filter (a) whole frequency range (b) near passband frequency.

**Table 1.** Comparison of two filters transmission performance.

Filter Type	Insert Loss	Return Loss	Stopband (Lower)	Stopband (Upper)
Conventional	1.4dB	10.1dB	21.2dB	3.6 dB
Proposed	2.5dB	11 dB	24.8dB	30.8dB



**Figure 6.** Simulated and measured  $S$  parameter of proposed open-loop resonator bandpass filter with Tri-section SIR (a) whole frequency range (b) near passband frequency.

Fig. 6 shows the measured and simulated  $S$ -parameters of the proposed open-loop resonator bandpass filter with Tri-section SIR. For comparison, the centre frequency is set in 0.9 GHz too. From the figure, it can be clearly found that the proposed filter shows a much better stopband rejection performance than the one above. The suppression of stopband rejection below 3 GHz is as high as 30 dB; there is more than 26 dB improvement from 3.6 dB to 30 dB in 2nd harmonic suppression due to the Tri-section SIR. Table 1 shows the details transmission performance comparison of two filters.

#### 4. CONCLUSION

A novel open-loop resonator bandpass filter with Tri-section SIR has been presented in the paper. In order to demonstrate its potential, a conventional open-loop resonator filter and the proposed one with Tri-section SIR have both been designed and fabricated for comparison. The filter proposed in the paper not only shows a superior harmonic suppression in stop-band, but also saves as much as 60% circuit size compared with the conventional one.

#### REFERENCES

1. Hong, J. S. and M. J. Lancaster, "Canonical microstrip filter using square open-loop resonators," *IEE Electron. Lett.*, Vol. 31, No. 23, 2020–2022, Nov. 1995.
2. Hong, J. S. and M. J. Lancaster, "Theory and experiment of novel microstrip slow-wave open-loop resonator filters," *IEEE Trans. Microwave Theory Tech.*, Vol. 45, No. 12, 2358–2365, Dec. 1997.
3. Makimoto, M. and S. Yamashita, "Bandpass filters using parallel coupled stripline stepped impedance resonators," *IEEE Trans. Microw. Theory Tech.*, Vol. MTT-28, No. 12, 1413–1417, Dec. 1980.
4. Makimoto, M. and S. Yamashita, *Microwave Resonators and Filters for Wireless Communication*, Springer-Verlag, Heidelberg, Berlin, 2001.
5. Fu, Y. Q. and N. C. Yuan, "Reflection phase and frequency bandgap characteristics of EBG structures with anisotropic periodicity," *Journal of Electromagnetic Waves and Applications*, Vol. 19, No. 14, 1897–1905, 2005.
6. Alfano, L., A. D'Orazio, M. De Sario, V. Petruzzelli, and F. Prudenzeno, "A continuous varying impedance passband microstrip filter exploiting a butterfly wing shape," *Journal of Electromagnetic Waves and Applications*, Vol. 19, No. 9, 1145–1156, 2005.
7. Burokur, S. N., M. Latrach, and S. Toutain, "Analysis and design of waveguides loaded with split-ring resonators," *Journal of Electromagnetic Waves and Applications*, Vol. 19, No. 11, 1407–1421, 2005.
8. Xu, W., L. W. Li, H. Y. Yao, T. S. Yeo, and Q. Wu, "Extraction of constitutive relation tensor parameters of SRR structures using transmission line theory," *Journal of Electromagnetic Waves and Applications*, Vol. 20, No. 1, 13–25, 2006.

9. Gu, J.-Z. and X.-W. Sun, "Miniaturization and harmonic suppression open-loop resonator bandpass filter with capacitive terminations," *MTT-S*, San Francisco, USA, June 2006,
10. Packiaraj, D. and M. Ramesh, "Design of a tri-section folded SIR filter," *IEEE Microwave and Wireless Component Letters*, Vol. 16, No. 5, May 2006.