

## RCS Calculation Using Hybrid FDTD-NARX Technique

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**Abstract**—This paper amalgamates two uncorrelated techniques namely finite difference time domain technique (FDTD) and nonlinear autoregressive with exogenous input (NARX) neural network to achieve a faster computation of radar cross section (RCS). It generates only a limited number of FDTD data and uses them to train a NARX neural network. The data beyond this limited number for the FDTD come from the NARX prediction. Comparison of the performance of FDTD-NARX hybrid with other methods indicates good matching with better timing for RCS of electrically larger objects.

### 1. INTRODUCTION

RCS is an essential parameter in strategic applications [1]. It gives the electromagnetic signature of an object through radar technology. Therefore, it continues to be an essential subject of research. Its analytical expression is a complicated function of parameters like conductivity, permittivity, permeability, aspect angle, the shape of objects, and radar operating frequency. Its computation for a realistic object requires high computational resources. High-frequency asymptotic techniques like Geometrical Optics, Physical Optics [2], Geometrical Theory of Diffraction, Physical Theory of Diffraction, and Ray tracing methods [3–7] are the few examples for faster RCS computation. These methods are faster but problem dependent. The full-wave solvers like FDTD, MoM, and FEM are advantageous because of their adaptability to different geometric shapes [8]. However, for larger objects or high frequencies, they demand high computing resources and cost. Thus it is necessary to recast existing methods with techniques from other non-electromagnetic domains to reduce the high computing demand.

In this work, we consider time tested FDTD method [8–11] as the base for RCS calculation. Inherently, the computational resources for FDTD depend on the number of generated cells. Among the available techniques addressing these issues, different types of artificial neural networks (ANN) [12, 13] are prominent. Such methods, except NFDTD [14], are usually problem specific. With this consideration, the objective here is to limit the exponentially growing computational time and memory requirement that comes with increasing object size and frequency.

We know that for electromagnetic (EM) problems, FDTD is a time domain technique. The central part of FDTD is the time marching loop that generates scattered fields for each location in the problem domain. During implementation, an array at each location stores the time series field value. So, a time series predictor shall be useful in achieving the objective mentioned earlier. We propose to use NARX as the time series predictor in this paper. With a simple network structure [15], the NARX neural network is easy to implement, and it converges faster. Compared to other recurrent networks, it has limited feedback which comes only from the output neuron instead of hidden states. NARX network [15] is well suited for predicting the nonlinear system's behaviour such as nonlinear active noise control, the design of nonlinear systems in the frequency domain [16], for power system load modelling, recursive identification for nonlinear ARX systems [17], etc.

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## 2. NARX TIME SERIES PREDICTOR

NARX is a three-layer neural network with the nonlinear sigmoid transfer function in the hidden layer and a linear transfer function in the output layer. It has two inputs; one is exogenous input, and the other is feedback. This network contains embedded delays in both the inputs. They reduce the sensitivity of long-term dependencies and enhance learning capability [15]. The accuracy of prediction of the network depends on the number of hidden neurons and embedded memory elements. Thus, its output in functional form is

$$y(t) = f(y(t-1) \dots y(t-d_o) x(t-1) \dots x(t-d_i)) \quad (1)$$

Here,  $f$  is a nonlinear function;  $d_i$  and  $d_o$  are the input delay and output delay orders;  $x(t)$  and  $y(t)$  are the input and output sequences, respectively at time  $t$ . Figure 1 shows the NARX network schematic. The output of the network is

$$y(t) = u_o(t) \quad (2)$$

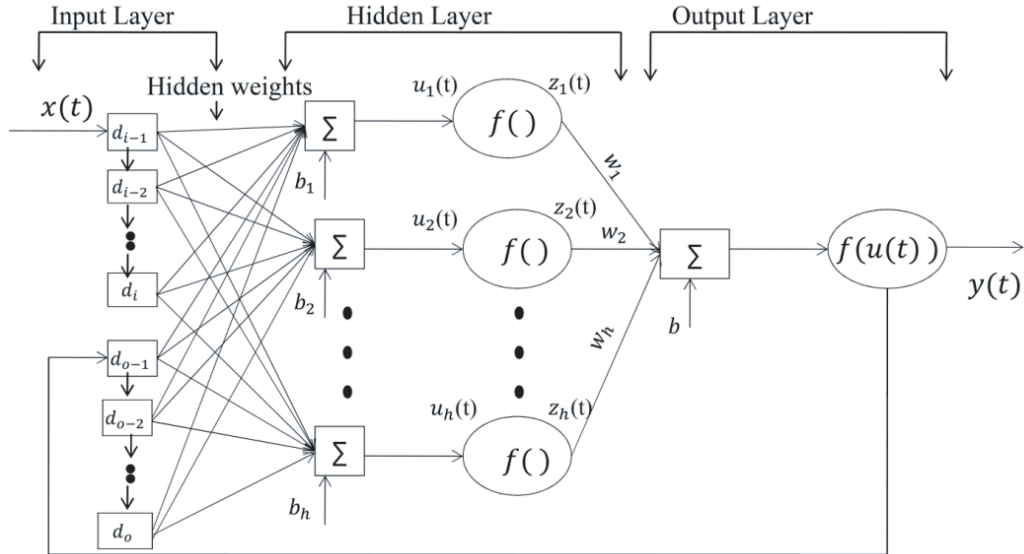
$$u_o(t) = \sum_{h=1}^{N_h} (w_{oh} z_h(t) + b_o) \quad (3)$$

$$z_h(t) = f(u_h(t)) \quad (4)$$

$$u_h(t) = \sum_{i=0}^{d_i} w_{ih} x(t-i) + \sum_{j=0}^{d_o} w_{jh} y(t-j) + b_h \quad (5)$$

In the above equation,  $u_h(t)$  is the input to the  $h^{\text{th}}$  hidden neuron,  $z_h(t)$  the output of the  $h^{\text{th}}$  hidden neuron,  $u_o(t)$  the network output,  $w_{ih}$  the weight from the  $i^{\text{th}}$  exogenous input to  $h^{\text{th}}$  hidden neuron,  $w_{jh}$  the weight from the  $j^{\text{th}}$  feedback input to  $h^{\text{th}}$  hidden neuron,  $w_{oh}$  the weight from  $h^{\text{th}}$  hidden neuron to the output,  $b_h$  the hidden bias,  $b_o$  the output bias, and  $N_h$  the number of hidden neurons.  $f$  is a sigmoid transfer function at each hidden neuron. The network training uses known exogenous input and output time series to identify the nonlinear functional mapping. The performance measure of the training is mean square error (MSE). The lower the MSE is, the more accurate the prediction is. The expression for the MSE is

$$MSE = \frac{1}{n} \sum_{i=1}^n (\hat{y}_i - y_i)^2 \quad (6)$$



**Figure 1.** The architecture of NARX neural network.

where  $\hat{y}_i$  and  $y_i$  are the desired and network output values respectively for the  $i^{\text{th}}$  sample, and  $n$  is the number of data samples. The weight updation uses the Levenberg-Marquardt (LM) training algorithm [18, 19] resulting in

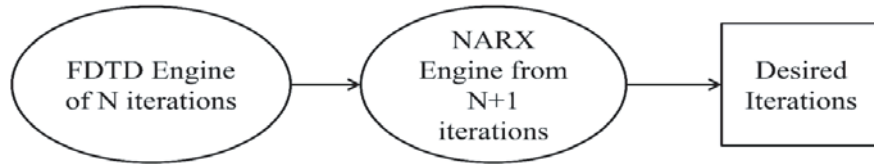
$$w_{k+1} = w_k - \Delta w_k \tag{7}$$

$$\Delta w_k = [J^T(w_k) J(w_k) + \mu I]^{-1} J^T(w_k) e(w_k) \tag{8}$$

where  $w_k$  denote the weight matrix at the  $k^{\text{th}}$  iteration,  $I$  the identity matrix,  $\mu$  the damping factor,  $e(w_k)$  the error vector of all the training samples,  $J$  the Jacobian matrix, and  $J^T$  is its transpose. The LM algorithm is an iterative technique. It finds the minimum of a function which is in the form of a sum of squares. It is like a combination of steepest descent and Gauss-Newton method. Its training follows two-way procedure; when the prediction is far from the target, the algorithm acts as a steepest descent method, and when the prediction is close to the target, it acts as a Gauss-Newton method. Its training time is low for less number of weights.

### 3. PROPOSED HYBRID MODEL

Figure 2 shows the block diagram of the proposed hybrid model. This model first uses the FDTD algorithm for a limited number of iterations to generate time series data for training of the NARX network. After training, the NARX predicts the time series data for future iterations. The time series data can be the fields or currents on a surface depending on the nature of the problem.



**Figure 2.** Block diagram of FDTD-NARX.

### 4. APPLICATION TO RCS ( $\sigma$ ) CALCULATION

The analytical expression for RCS [9] of an object is

$$\sigma = 4\pi R^2 \frac{|\vec{E}_s|^2}{|\vec{E}_i|^2} \tag{9}$$

here,  $\sigma$  is the RCS;  $R$  is the range of the radar from the target;  $\vec{E}_i$  and  $\vec{E}_s$  are the scattered and incident electric fields, respectively. It is a far-field parameter. Use of spherical coordinates is common in RCS calculation.

#### 4.1. FDTD Formulation

A plane wave source illuminates the object under consideration. Determination of the scattered fields, due to the illumination, on the faces of an imaginary cube enclosing the object helps in obtaining the current components on each of the surfaces. Near field to far-field transformation followed by simple calculations gives the RCS of the object. The discretisation of Maxwell’s equations gives the field

components for FDTD computation. For example, the  $E_x$  component has the form [8, 9]

$$\begin{aligned} \frac{E_x^{n+1}(i, j, k) - E_x^n(i, j, k)}{\Delta t} = & \frac{1}{\varepsilon_x(i, j, k)} \frac{H_z^{n+\frac{1}{2}}(i, j, k) - H_z^{n+\frac{1}{2}}(i, j-1, k)}{\Delta y} \\ & - \frac{1}{\varepsilon_x(i, j, k)} \frac{H_y^{n+\frac{1}{2}}(i, j, k) - H_y^{n+\frac{1}{2}}(i, j, k-1)}{\Delta z} \\ & - \frac{\sigma_x^e(i, j, k)}{\varepsilon_x(i, j, k)} E_x^{n+\frac{1}{2}}(i, j, k) - \frac{1}{\varepsilon_x(i, j, k)} J_{ix}^{n+\frac{1}{2}}(i, j, k) \end{aligned} \quad (10)$$

After determination of the field components, the process described in [20] gives the far-field parameters  $L_\theta$ ,  $L_\varphi$ ,  $N_\theta$ ,  $N_\varphi$ , to find the RCS as given below

$$\text{RCS}_\theta = \frac{k^2}{8\pi\eta_0 P_{inc}} |L_\varphi + \eta_0 N_\theta|^2 \quad (11)$$

$$\text{RCS}_\varphi = \frac{k^2}{8\pi\eta_0 P_{inc}} |L_\theta + \eta_0 N_\varphi|^2 \quad (12)$$

here  $P_{inc}$  is the incident plane wave power.

$$P_{inc} = \frac{1}{2\eta_0} |E_{inc}(\omega)|^2 \quad (13)$$

## 5. IMPLEMENTATION

The FDTD formulation uses CPML boundary condition [21] and keeps the object under test (OUT) centred at the origin. A Gaussian plane wave illuminates the OUT. An imaginary cubic box encloses the OUT. The box is in the near field region of the OUT. On each of the six faces of the box  $x_p, x_n, y_p, y_n, z_p, z_n$ , the surface equivalence theorem gives the surface currents ( $\vec{J}_s$  and  $\vec{M}_s$ ) from the near scattered field values ( $\vec{E}$  and  $\vec{H}$ ) obtained from FDTD formulation [20]. The equivalent currents of  $x_p$ -face are

$$\vec{J}_s = \hat{x} \times \vec{H} = \hat{x} \times (\hat{x}H_x + \hat{y}H_y + \hat{z}H_z) = \hat{z}H_y - \hat{y}H_z \quad (14)$$

$$\vec{M}_s = -\hat{x} \times \vec{E} = -\hat{x} \times (\hat{x}E_x + \hat{y}E_y + \hat{z}E_z) = -\hat{z}E_y + \hat{y}E_z \quad (15)$$

From Equations (14) and (15), four electric and magnetic scalar currents of  $x_p$ -plane are  $J_y, J_z, M_y, M_z$ . Here,  $J_y = -H_z, J_z = H_y, M_y = E_z, M_z = -E_y$ . A similar procedure gives all other surface currents. Each of the six faces of the box shall have  $N_c \times N_c$  cells. Each cell shall have two scalar electric and magnetic currents. Thus, there is a storage requirement for a total of  $4 \times 6 \times N_c^2$  surface currents. Figure 3 illustrates the illumination of the FDTD domain for RCS calculation. Table 1 shows the parameters used in the FDTD code. The FDTD code runs for a limited number of iterations ( $N$ ) depending on the OUT size, to generate training values of currents for the NARX. The plane wave

**Table 1.** FDTD coding parameters for OUT.

SN	Parameters	Sphere, Cube, Cylinder
1	Frequency of operation	100 MHz
2	Size of Cell	$\lambda/20$
4	CPML boundary layer	6–8 cells
3	Source direction	$\theta = 0^\circ, \varphi = 0^\circ$
5	Material parameters	$(\epsilon_r = 3, \mu_r = 2)$
6	Far-field condition	$2D^2/\lambda$

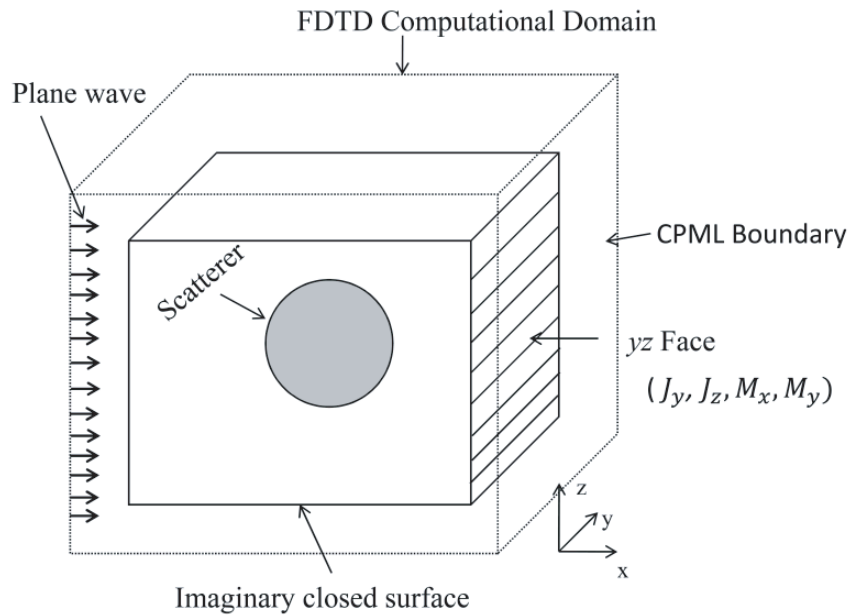


Figure 3. Illustration of the FDTD domain for RCS calculation.

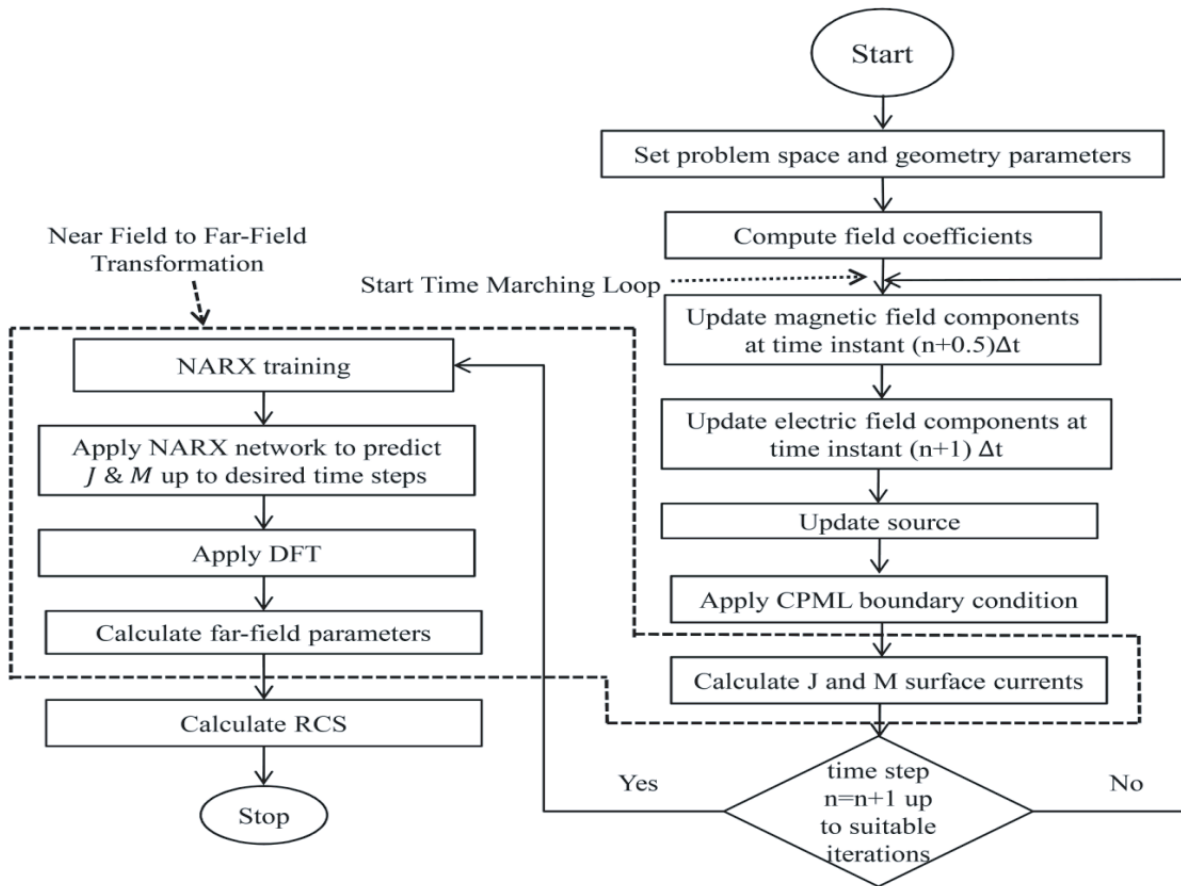


Figure 4. Flow chart for FDTD-NARX.

**Table 2.** NARX parameters.

Number of Hidden Neurons	20
Number of delays for both input	6
Training Algorithm	Levenberg-Marquardt

source is exogenous input for NARX network. Table 2 shows the parameters used in the NARX neural network. In the NARX neural network, the initial weight values are randomly chosen between  $[-0.5, 0.5]$ . The initial learning rate varies according to a standard procedure mostly remaining around 0.001. The trained NARX neural network predicts current values from  $(N + 1)$  to  $N_f$  (stable state) iterations. For calculation of RCS in a single cut-plane the NARX needs to run  $(4 \times 2 \times N_c^2)$  times. Then the application of DFT gives the far-field parameters  $(L_\theta, L_\varphi, N_\theta, N_\varphi)$ , completing the NF-FF transformation. After that, Equations (11) and (12) give the RCS in the corresponding plane. Figure 4 shows a flow chart for implementation of the process.

## 6. RESULT DISCUSSION

The coding and its execution uses a DELL PC with Intel Core i7 processor, 8 GB RAM, CN-ON4YC8-72200-63E-008V-A00 motherboard. Result validation uses commercial EM codes FEKO [22] and CST (time domain solver). Object samples include a cube of 0.3 m side length, a cylinder of 0.3 m radius, and 1 m height and seven spheres with radii varying from 0.3 m to 2.1 m in increments of 0.3 m. FEKO validation and comparison simulations use full-wave methods (FEM, MoM, FDTD) available in the package. The RCS calculations are at 100 MHz which is useful for long surveillance radar. Table 3 shows the number of cells for the FDTD domain and on one face of the imaginary box as well as the number of iterations with total computing time for different spheres. For the FDTD-NARX, Table 4 shows the prediction iteration numbers and the total computing time for different spheres. Exclusion of similar tables for other two objects is because the computing time depends on the size of the imaginary box, and irrespective of the shape of the OUT, its dimension shall determine the size of the imaginary box. However, Table 5 provides sample results for cube (side 0.3 m) and cylinder (radius 0.3 m and height 1 m) and compares performance time for standard FDTD and FDTD-NARX. Figure 5 shows the FDTD-NARX performance in terms of MSE stabilization considering a tolerance of better than 4 decimal places for sphere, cube, and cylinder, limiting the number of training epochs to 1000. The time step requirements are also available in Tables 3–5. Table 6 compares computing time of FDTD-NARX with other full-wave methods. Figures 6(a) and (b) respectively show the FDTD-NARX calculated RCS for the seven spheres in  $xz$  plane ( $\varphi = 0^\circ$ ) and  $yz$  plane ( $\varphi = 90^\circ$ ). Figure 6(c) shows a comparison of FDTD and NARX predicted surface current of a random point for the sphere (radius 0.3 m). For

**Table 3.** Computing time of FDTD for spheres of different radii.

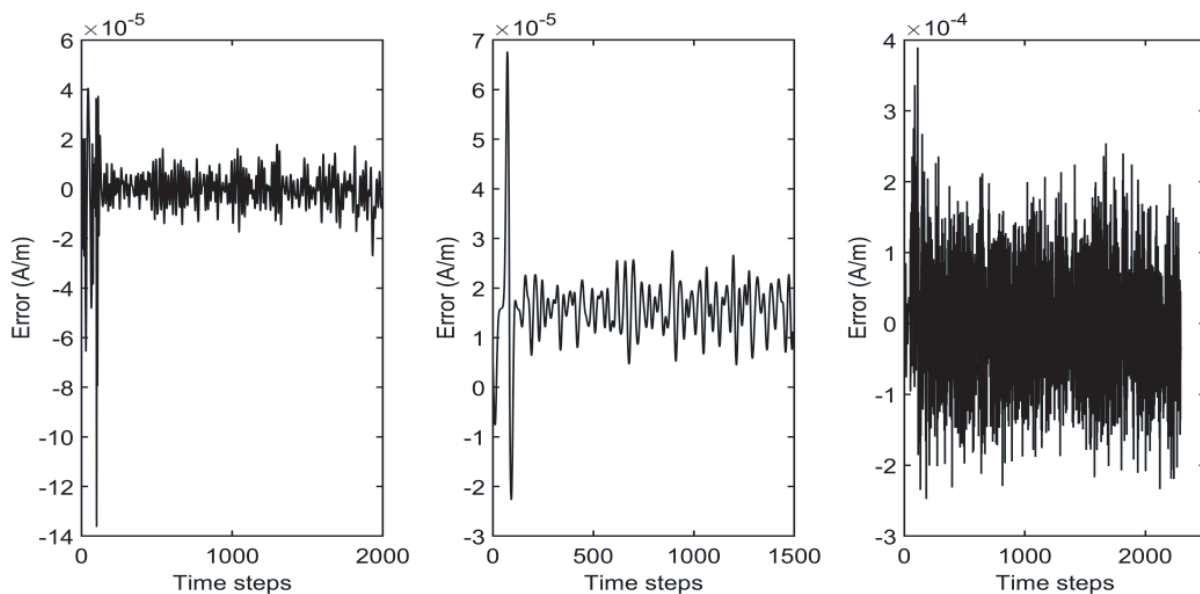
Radius (m)	Total number of cells in the FDTD domain	Number of FDTD iterations	Number of cells in one face of imaginary box	Time (sec)
0.3	$44 \times 44 \times 44$	2000	$8 \times 8$	25
0.6	$48 \times 48 \times 48$	2500	$12 \times 12$	43
0.9	$62 \times 62 \times 62$	3000	$16 \times 16$	163
1.2	$78 \times 78 \times 78$	3500	$20 \times 20$	404
1.5	$114 \times 114 \times 114$	4500	$24 \times 24$	2384
1.8	$156 \times 156 \times 156$	5500	$28 \times 28$	7537
2.1	$204 \times 204 \times 204$	6500	$33 \times 33$	17257

**Table 4.** Total computing time of the FDTD-NARX for spheres of different radii.

Radius (m)	Prediction of iteration from $(N + 1)$	Prediction of iteration up to $(N_f)$	Time (sec)
0.3	701	2000	700
0.6	751	2500	1340
0.9	851	3000	2310
1.2	901	3500	3542
1.5	1001	4500	4998
1.8	1151	5500	7025
2.1	1251	6500	13588

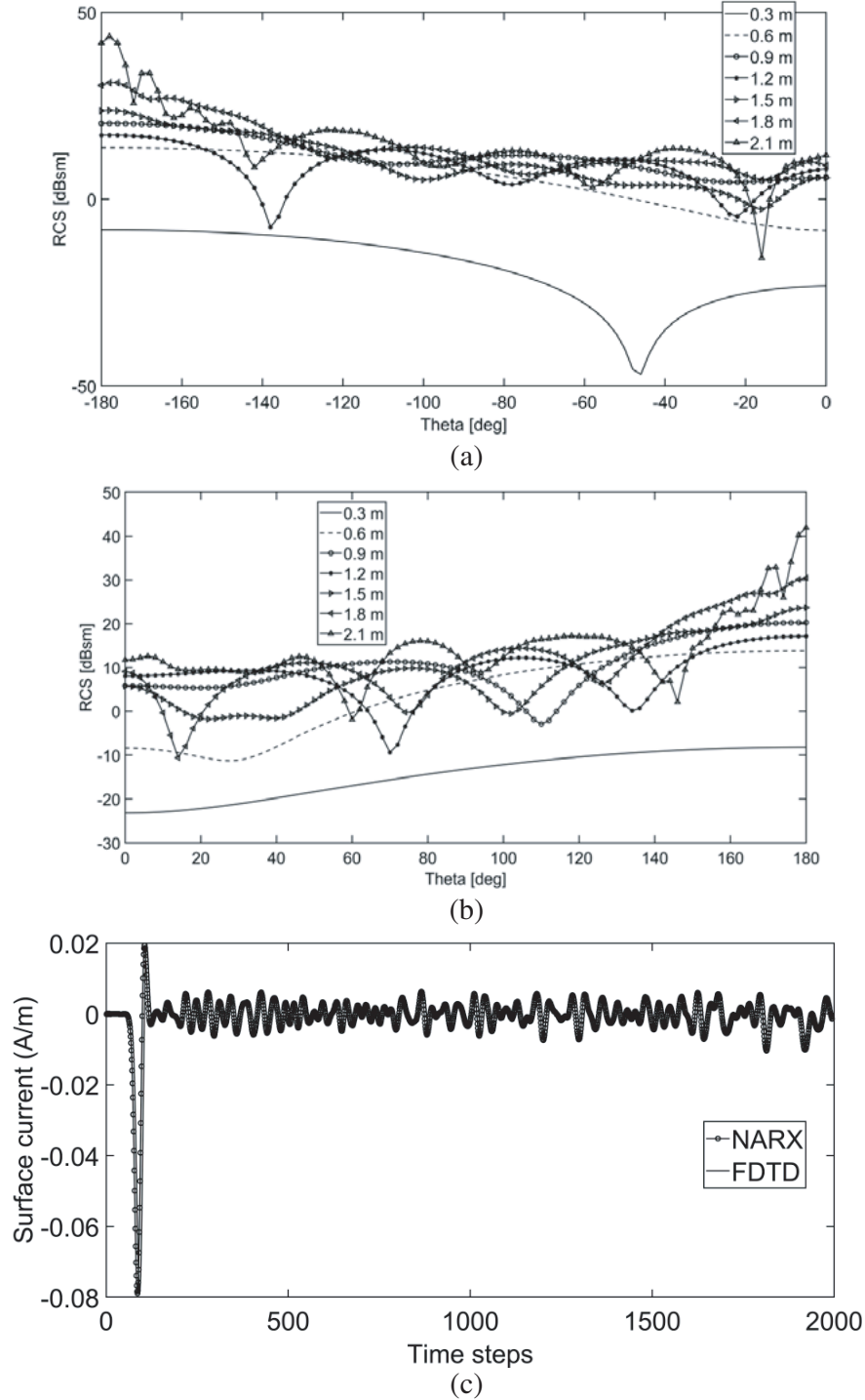
**Table 5.** Total computing time of the FDTD-NARX for cube and cylinder of different size.

Objects	Prediction of iteration from $(N + 1)$	Prediction of iteration up to $(N_f)$	FDTD Time (sec)	NARX-FDTD Time (sec)
Cube (side = 0.3 m)	501	1500	17	605
Cylinder (radius = 0.3 m, height = 1 m)	601	2500	32	1205



**Figure 5.** MSE performance of FDTD-NARX for different OUTs.

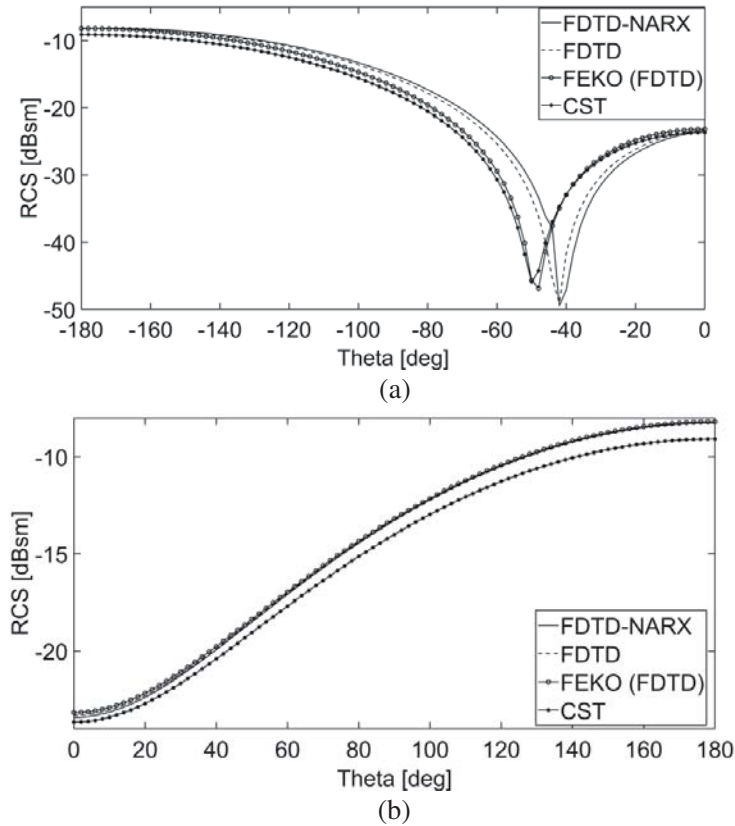
better understanding, Figures 7(a) and (b), respectively, show the FDTD-NARX calculated RCS for the sphere of radius 0.3 m in  $xz$  plane ( $\varphi = 0^\circ$ ) and  $yz$  plane ( $\varphi = 90^\circ$ ). Figures 8(a) and (b) and Figures 9(a) and (b) respectively show the FDTD-NARX calculated RCS for the cube and cylinder in  $xz$  plane ( $\varphi = 0^\circ$ ) and  $yz$  plane ( $\varphi = 90^\circ$ ).



**Figure 6.** (a) Calculated  $RCS_{\theta}$  of the spheres at 100 MHz in the  $xz$  plane. (b) Calculated  $RCS_{\phi}$  of the spheres at 100 MHz in the  $yz$  plane. (c) A comparison of FDTD and NARX (predicted) surface current of a random point of sphere (radius 0.3 m).

Figures 7, 8, and 9 indicate that the OUTs are appreciably detectable in the range  $\theta = -180^{\circ}$  to  $-60^{\circ}$  on the  $xz$  plane and  $\theta = 0^{\circ}$  to  $180^{\circ}$  on the  $yz$  plane. In the  $xz$  plane, a blind point appears around  $\theta = -40^{\circ}$ , with a blind zone between  $\theta = -50^{\circ}$  to  $-30^{\circ}$ . In other ranges, on this plane, diminished detectability is indicated. In these figures, the FDTD-NARX, FDTD, FEKO (FDTD), and CST results

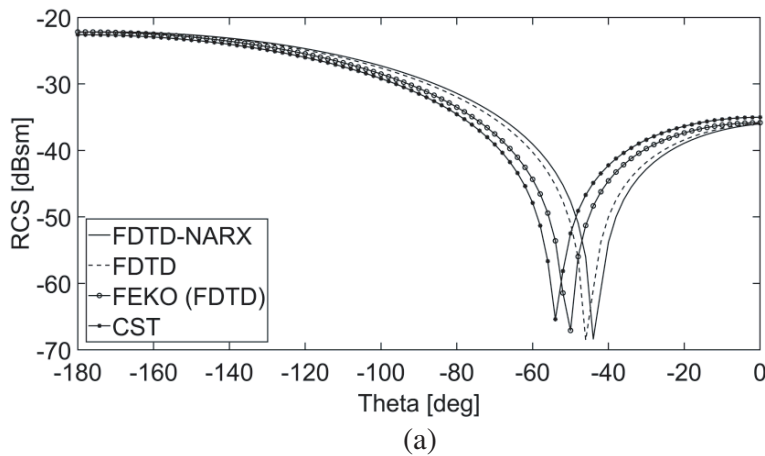


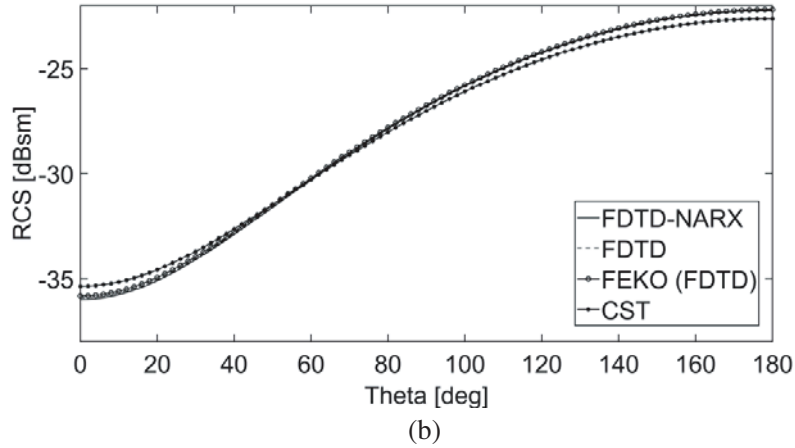


**Figure 7.** (a) Comparison of calculated  $RCS_{\theta}$  of the sphere at 100 MHz in the  $xz$  plane. (b) Comparison of calculated  $RCS_{\varphi}$  of the sphere at 100 MHz in the  $yz$  plane.

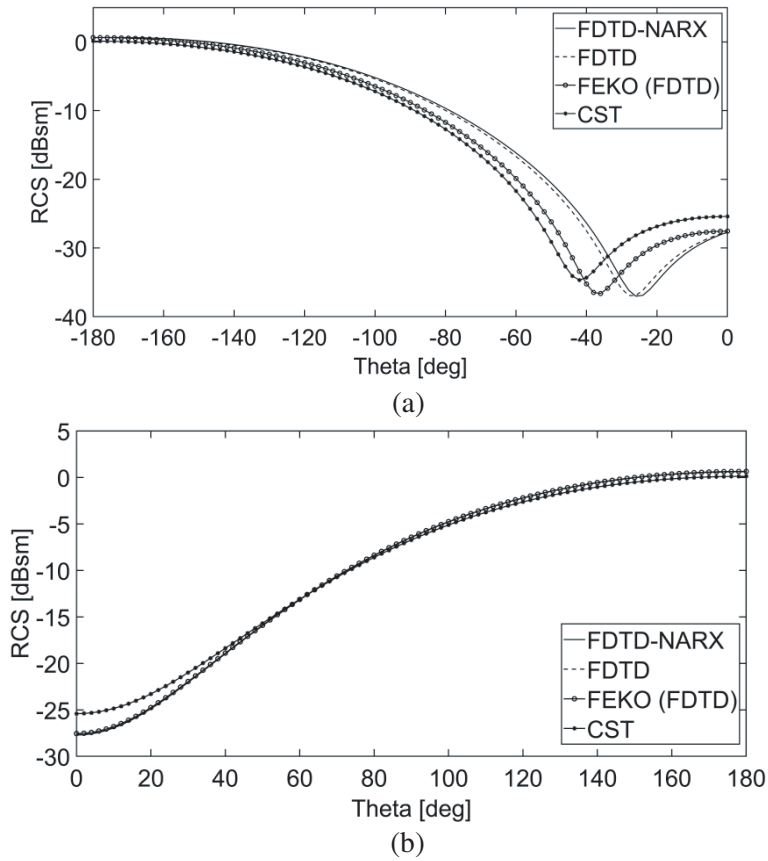
follow each other closely.

Comparisons of Tables 3, 4, and 5 indicate that for smaller objects, FDTD is efficient. However, as the object size increases, the FDTD-NARX technique catches up with the normal FDTD and outperforms it for a sphere with a radius larger than or equal to 1.8 m. Table 6 indicates that the FDTD-NARX outperforms other full-wave methods for the sphere of radius larger than 2.1 m. Table 7 compares the accuracy of the proposed method with other commercial codes and FDTD in terms of percentage of deviation. It is seen that the maximum percentage of deviation is 15%, and minimum percentage of deviation is 0.16%, which are easily tolerable. In this work, the spatial grid size in all





**Figure 8.** (a) Comparison of calculated  $RCS_\theta$  of the cube at 100 MHz in the  $xz$  plane. (b) Comparison of calculated  $RCS_\varphi$  of the cube at 100 MHz in the  $yz$  plane.



**Figure 9.** (a) Comparison of calculated  $RCS_\theta$  of the cylinder at 100 MHz in the  $xz$  plane. (b) Comparison of calculated  $RCS_\varphi$  of the cylinder at 100 MHz in the  $yz$  plane.

**Table 6.** Computing time comparison of FDTD-NARX with other full-wave methods.

Methods	Size (m)	Computational time (sec)
FEM (FEKO, parallel computing)	2.1	15033
MoM (FEKO, parallel computing)	2.1	14266
FDTD (FEKO, parallel computing)	2.1	13698
FDTD	2.1	17257
FDTD-NARX	2.1	13588

**Table 7.** Percentage of deviation of proposed method with FDTD, FEKO (FDTD), and CST.

Methods	Sphere		Cube		Cylinder	
	RCS <sub><math>\theta</math></sub>	RCS <sub><math>\varphi</math></sub>	RCS <sub><math>\theta</math></sub>	RCS <sub><math>\varphi</math></sub>	RCS <sub><math>\theta</math></sub>	RCS <sub><math>\varphi</math></sub>
FDTD	4.21%	0.37%	4.87%	0.16%	2.98%	0.16%
FEKO (FDTD)	12.37%	0.84%	10.4%	0.33%	11.17%	0.50%
CST	14.01%	4.21%	11.5%	1.24%	15.06%	6.64%

cases is  $\lambda/20$ . In addition for FEKO, number of meshes is 137194 (tetrahedral) when using FEM and 346573 (tetrahedral) when using MoM.

## 7. CONCLUSION

The proposed integration of NARX with FDTD achieves the desired objective in RCS calculation of electrically large objects. For electrically small objects, standalone FDTD is a better choice. The NARX-FDTD code used in the calculation is in sequential mode. Even then, it outperforms the full-wave methods implemented with parallel computing capability in commercial package FEKO 14.0. Thus incorporation of parallel computing techniques into the NARX-FDTD code will make it more efficient and hence a faster technique.

## ACKNOWLEDGMENT

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