

Advances in Synthesis Strategies for Lanthanide-Based NaYF₄ Upconversion Nanocrystals and Their Applications in Ratiometric Thermometry

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ABSTRACT: The optical characteristics and varied applications of lanthanide-doped NaYF₄ upconversion nanocrystals have received considerable interest in recent years, such as in ratiometric thermometry. This review thoroughly examines the various synthesis processes utilized in producing these nanocrystals and their application in temperature sensing. Synthesis of NaYF₄ upconversion nanocrystals is a complex procedure that requires careful management of dopant concentrations, crystal phase, size, and shape. The distinctive luminescent characteristics of lanthanide ions, which facilitate the transformation of photons with low energy into emissions with higher energy, render NaYF₄ nanocrystals suitable for ratiometric thermometry applications. We explore the fundamental concepts underlying upconversion luminescence in developing ratiometric temperature sensors. In this discourse, we examine the selection of lanthanide dopants, the mechanics underlying their energy transmission, and the development of customized sensor architectures. This review covers the recent progress and utilization of NaYF₄ upconversion nanocrystals in ratiometric thermometry, including diverse areas such as biological temperature detection, environmental surveillance, and materials research. We evaluate the obstacles and potential advancements in this domain, specifically emphasizing approaches to improving temperature sensors' precision, responsiveness, and applicability based on upconversion.

1. INTRODUCTION

Up-conversion nanoparticles (UCNPs) have garnered significant interest in microscopy [1, 2], biomedical imaging [2–10], photodynamic therapy [11–17], and high-resolution imaging [18, 19] during the preceding decades due to their unique capability to absorb lower-energy photons and then emit higher-energy photons [20–24]. Up-converting nanoparticles (UCNPs) have several advantages, such as minimal background auto-fluorescence, limited cytotoxicity, and a substantial penetration depth for near-infrared (NIR) excitation light [25]. Recent advancements in plasmonics, metasurfaces, and metamaterials [26–33] could further enhance the emission efficiency of UCNPs. Furthermore, the incorporation of UCNPs with imaging methods such as Stimulated Emission Depletion (STED) microscopy offers an intriguing opportunity [17, 34]. STED microscopy utilizes the unique spectrum characteristics and photostability of UCNPs to potentially exceed the diffraction limit, allowing for more precise imaging of cellular structures [18, 35]. This integration aligns with the broader goal of advancing imaging capabilities by harnessing the unique properties of UCNPs [36–38]. The material composition of UC-

NPs significantly impacts their distinctive optical properties, including the host material, sensitized ions, and activated ions of lanthanide-based UCNPs [39, 40]. The main function of the matrix is to serve as a crystalline host lattice structure that facilitates the activation and sensitization of ions. The primary function of activated ions is to serve as luminous centers. Sensitized ions are responsible for absorbing near-infrared (NIR) light. The energy absorbed from the incident light can subsequently be transferred to the ions that have been activated, facilitating the emission of photons. Oxides, fluorides, and chlorides are frequently used to create UCNPs [41–44]. The selection of host materials based on metal fluorides has exhibited reliable chemical stability [45]. There have been numerous host matrix materials that have been documented. NaYF₄ is a highly effective host material for up-conversion luminescence (UCL) due to its low phonon energy ($< 400 \text{ cm}^{-1}$) and high refractive index. These properties provide lower rates of nonradiative transitions and higher efficiency of energy transfer up-conversion (ETU) [46–48]. The compound NaYF₄ can manifest two crystallographic phases, specifically cubic and hexagonal. The hexagonal polymorph of NaYF₄ has a considerably higher upconversion efficiency than its cubic counterpart. Considerable research has been dedicated to fabricat-

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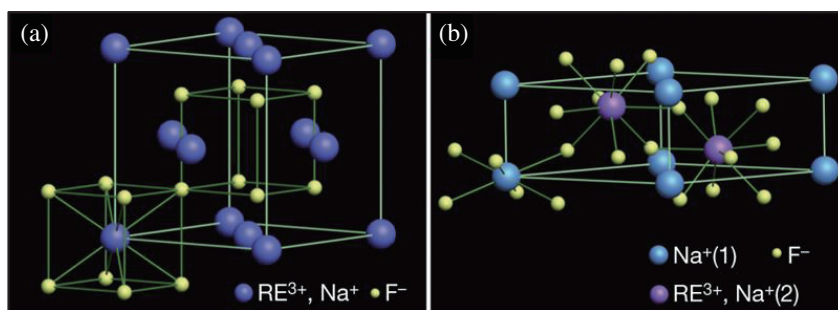


FIGURE 1. The phenomenon of phase transition in NaREF_4 structures induced by lanthanide doping is depicted schematically in (a) and (b). (a) represents the cubic-phase NaREF_4 structure, whereas (b) illustrates the hexagonal-phase NaREF_4 structure [106].

ing NaYF_4 materials with consistent dimensions and superior quality, encompassing various geometries [49–56]. The morphologies and sizes of NaYF_4 samples have a crucial role in determining their upconversion (UC) luminous features [57, 58]. Several investigations have been done to understand the effects of particle morphologies and sizes on radiative transition, nonradiative relaxation, energy transfer processes, and the UC luminescence of NaYF_4 . There has been a notable increase in interest regarding the controlled synthesis, optical properties, and applications of nanoscale Ln^{3+} -doped NaYF_4 . This keen interest can be attributed to the significant progress in nanotechnology, particularly in developing innovative methods for synthesizing materials. The NaYF_4 UCNPs exhibit distinctive optical characteristics and can potentially incorporate supplementary functional capabilities. Consequently, they can function as versatile probes with several modalities, catering to various applications that can be attributed to the unique architecture of the nanostructure [59–62]. Examining the temperature dependence of UC luminous behavior enhances the comprehension of the UC mechanism and the potential applications of these UC materials in temperature sensing and biosensors [63]. Temperature is a physical quantity important across various scientific disciplines. Its practical uses are particularly notable in precise measurement and calibration [64–66]. The field of temperature sensors has witnessed significant advancements in recent years, particularly in rare-earth doped ceramic phosphors and phosphor sensors [67–69]. Temperature sensing utilizing the response of optical materials is a highly appealing and complex area of research [70–74]. Contactless nano-thermometry with sub-microscale resolution has been widely utilized in several sectors, such as nanofluidic, catalytic processes, microelectronics, preclinical research, cell biology, and diagnostics [75–81]. Machine learning could be exploited to improve the performance of the temperature sensor, as it did successfully in many other sensing/imaging sectors (see e.g., [82, 83]). Optical thermometers can work in various challenging conditions, such as nanoscale, high voltage, and extreme conditions. Among several optical parameters, including emission intensity, spectrum shape, fluorescence lifetime, bandwidth, and spectral shift, Fluorescence Intensity Ratio (FIR) stands out as the most accurate and reliable optical parameter for temperature monitoring due to its remarkable ability to prevent self-referencing [84–87]. FIR-based upconversion

nano-thermometry could also be applied in various fields, including anti-counterfeiting [88], optical rotation [89, 90], non-linear properties [91, 92], temperature-controlled integrated circuit devices [93–95], temperature-sensitive cancer or antibiotic therapies [96, 97] and temperature calibration for gas sensing [98]. Nanoparticle ensembles have been prevalent in most temperature measurements based on upconversion nanoparticles [99–101]. Therefore, the spatial resolution of these measurements is determined by the diffraction limit of the stimulating laser beam. Measurements of individual nanoparticles provide spatial resolution determined by the size of the nanoparticle, which can be far smaller than the diffraction limit. Consequently, single-particle measurements offer a means to accomplish this level of precision [102–104].

The scope of this review includes the trajectory in synthesizing the most studied lanthanide-doped NaYF_4 particles, ranging from micro to nano and even sub-10 nanometer sizes, highlighting the advancements in fabrication techniques. Furthermore, it delves into the comprehensive scope of applications within nanothermometry, covering the recent strides made in leveraging unique properties of Ln-NaYF_4 for temperature-sensing applications at the nanoscale. This synthesis-to-application narrative encapsulates the evolving landscape of Ln-NaYF_4 , encompassing both synthesis methodologies and thermometric developments.

2. LATTICE SYMMETRY

Under typical conditions, NaYF_4 can exist in two phases, i.e., cubic or hexagonal. The cubic phase undergoes a transition to a hexagonal phase at a temperature of 300°C , and the hexagonal form transforms into a high-temperature (HT) cubic phase beyond 400°C [105]. Rare earth elements can occupy different sites in two phases of NaYF_4 . CaF_2 structures are formed in cubic phase NaYF_4 with one type of high-symmetry cation site, where Na^+ and rare earth ions occupy the Ca^{2+} sites randomly, as shown in Figure 1(a). Ln -doped NaYF_4 crystal structure in the hexagonal phase comprises an ordered array of fluoride ions F^- that form a lattice. Na^+ sodium and Ln^{3+} lanthanide dopant ions occupy the cation positions of this lattice. The Na^+ and Ln^{3+} ions usually selectively occupy two types of low-symmetry cation sites in this hexagonal phase, as shown in Figure 1(b). The cations' surrounding electron clouds are dis-

torted to account for the crystal lattice's structural alterations. The arrangement and deformation of the crystal lattice are determined by the type and amount of lanthanide dopant added to the NaYF_4 host lattice [106].

3. SYNTHESIS TECHNIQUES

Several techniques have been developed for synthesizing Ln- NaYF_4 UCNPs with various sizes, morphologies, and architectures. The synthesis of NaYF_4 nanoparticles encompasses a variety of techniques to manipulate their dimensions, morphology, and characteristics accurately [107–110]. The synthesis of NaYF_4 represents a critical area of research in nanomaterial science owing to its unique optical and thermal properties. This compound, particularly when doped with rare earth ions, exhibits fascinating characteristics, making it invaluable for various applications such as bioimaging, photonics, and thermometry. Basic principles of nucleation, crystal formation, and surface chemistry are used to design nanocrystals with specific properties [111–113]. These techniques include thermal decomposition, co-precipitation, hydro(solvo)thermal, and microwave-aided approaches [114–116].

The synthesis of NaYF_4 nanomaterials involves the design and construction of nanoparticles since their distinct properties are utilized for specific purposes. Researchers strive to optimize the structure and composition of materials to use their capabilities in cutting-edge technologies. These technologies encompass various applications, such as high-resolution imaging and ultra-sensitive nanothermometry. The advancements in these fields have impacted multiple disciplines, including biomedical research, materials science, and optical engineering [117, 118].

4. SYNTHESIS OF MICROSCALE NaYF_4 PARTICLES

The most efficient UC material to date, Yb^{3+} - and Er^{3+} -doped NaYF_4 , was first reported by Menyuk et al. in 1972 [119]. They treated a high-purity YF_3 , YbF_3 , and ErF_3 mixture in HF gas at 750°C , then dried and added a 20% excess desiccated NaF. Subsequently, the blend was heated at 200°C in purified argon for sixteen hours and further elevated to 1000°C for six hours to melt the sample. Since then, several studies have been devoted to synthesizing Ln-doped NaYF_4 . In 2004, Kramer et al. revolutionized synthesis by presenting a novel and consistent approach for producing UC phosphor materials with high efficiency and excellent chemical and physical stability in the pure hexagonal phase. Additionally, they introduced a new structural model that considers the cationic distribution and inherent the nonstoichiometric nature of this phase. The optimization process included enhancing the phase purity, adjusting sodium content, fine-tuning the preparation temperature, optimizing doping levels, and controlling excitation power to maximize the green and blue phosphor's UC emission intensity [120]. In 2010, Zheng et al. employed an EDTA-assisted hydrothermal approach to synthesize β - NaYF_4 microcrystals co-doped with Yb^{3+} and Er^{3+} . Upon annealing, these microcrystals exhibited distinct emissions associated with Er^{3+} ions when excited at 980 nm. Notably, high-order UV

UC emissions from Er^{3+} were detected, and these UV emissions were contingent on the pump power density of the 980 nm NIR light, potentially involving four- or five-photon UC processes [121]. Som et al. recently synthesized Microparticles of hexagonal NaYF_4 co-doped with Er^{3+} and Yb^{3+} using a microwave-enhanced hydrothermal method, resulting in uniform morphology and a pure phase within a shorter reaction time, which is shown in Figure 2. The reaction parameters influenced the phase and morphology, with a notable transformation from cubic to hexagonal phase as the reaction duration increased from 10 minutes to 2 hours at 180°C . Optimized conditions yielded β - NaYF_4 micro prisms with narrow size distributions and enhanced UC emission peaks at 524 nm, 545 nm, and 659 nm upon 980 nm excitation, attributed to Er^{3+} ion transitions [122].

5. SYNTHESIS OF NANOSCALE NaYF_4 PARTICLES

Many studies concentrate on creating and analyzing superior lanthanide-doped cubic and hexagonal NaYF_4 particles. The tendency of the particles to arrange themselves into uniform nanoplates or rods within the micrometer and sub-micrometer size range presented challenges in developing a practical method for producing small hexagonal nanoparticles.

Zeng et al. synthesized hexagonal-phase Yb^{3+} , Er^{3+} -doped NaYF_4 nanoparticles in 2004 for the first time with an average dimension of 25 nm in a solution of acetic acid [56]. Zhang et al's technique, originally proposed to fabricate the uniform LaF_3 particles was employed to synthesize NaYF_4 and yielded significant improvement in the size, shape, and uniformity of the UCNPs [123]. Several research groups have significantly improved this process, resulting in its widespread use as a standard method for producing monodisperse and orderly NaYF_4 nanocrystals in cubic and hexagonal configurations. The technique relies on the thermal decomposition of trifluoroacetate within a solvent mixture of oleic acid and octadecene [124–131].

Yi et al. demonstrated the co-precipitation of RE chlorides with NaF in the environment of ethylenediamine tetraacetic acid (EDTA) to achieve the cubic phase of Yb^{3+} and Er^{3+} doped NaYF_4 particles. This approach yielded spherical particles with a diameter ranging from 32 to 46 nm with a limited size distribution. These particles transformed into larger particles with the hexagonal phase when their dry powders were annealed between 400°C and 600°C . The resulting hexagonal particles also showed significant UC luminescence efficiency [111].

Yi and Chow extended the synthesis process to produce NaYF_4 with reduced dimensions. They observed that when the breakdown was carried out in pure oleylamine at 330°C , very small hexagonal $\text{NaYF}_4\text{:Yb}^{3+}$, Er^{3+} nanoparticles were produced. This is the first time that β - NaYF_4 particles, measuring 10 nm in size, have been effectively synthesized. These particles had a restricted size distribution and consistent morphologies. Consequently, they could be distributed and easily suspended in organic solvent. The particles exhibited a uniform shape and a limited size range, as determined by dynamic light

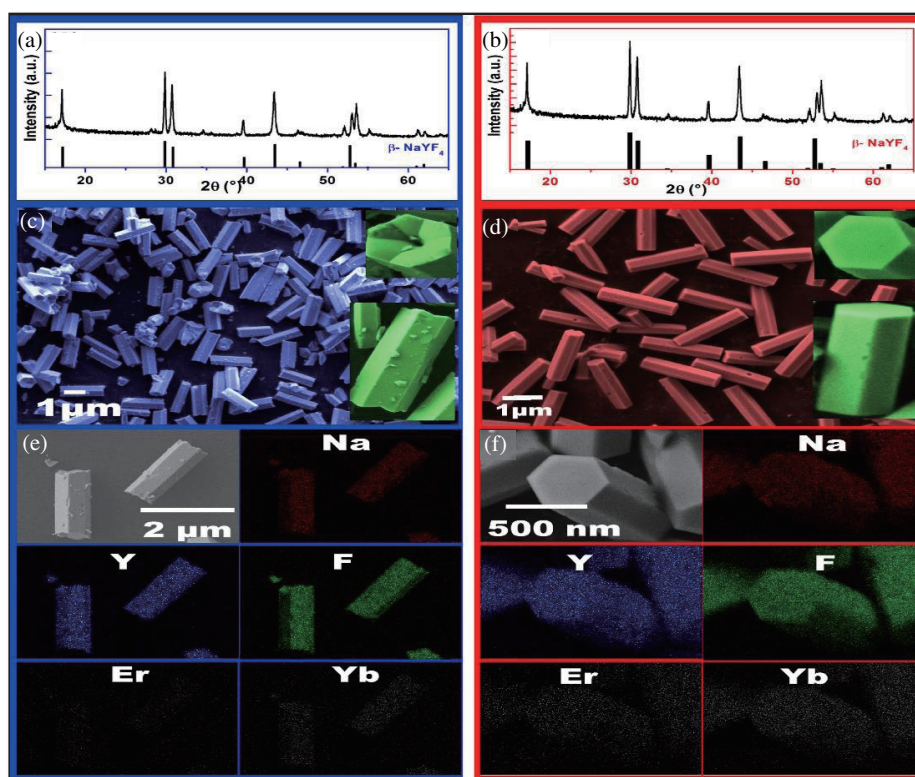


FIGURE 2. X-ray diffraction patterns, field emission scanning electron microscopy, and the respective elemental mapping were performed on NaYF₄ microcrystals doped with 2 mol% Er³⁺ and 18 mol% Yb³⁺. These microcrystals were synthesized using two different methods: ((a), (c) & (e)) hydrothermal method (HM) and ((b), (d) & (f)) microwave hydrothermal method (MWHM) [122].

scattering (DLS) and transmission electron microscopy (TEM) measurements, indicating a size of 11 nm [129].

Boyer et al. produced NaYF₄ nanoparticles doped with lanthanides in the cubic phase. The nanoparticles displayed an average size of 27.6 nm with a standard deviation of 1.6 nm [128]. Using trifluoroacetate throughout the synthesis process produces reactive fluoride compounds quickly at high temperatures. However, reproducibility problems arise due to several drawbacks, such as generating hazardous gases, elevated reaction temperatures, and a relatively narrow temperature range (less than 10°C) for decomposition [132]. As a result, efforts were undertaken to create substitute synthesis techniques that provide the nanoparticles with the desired phase, geometry, and dimensions.

Li and Zhang developed various techniques to produce NaYF₄ nanocrystals with a pure hexagonal phase. Their method combines oleic acid and octadecene solvents at 300°C [133]. They used *in-situ* produced rare-earth oleates, NaOH, and NH₄F instead of metal trifluoroacetate. As an alternative, oleic acid was substituted with stearic acid and trioctylphosphine oxide (TOPO), and octadecene was swapped out for a mixture of eicosene and trioctylamine as a high-boiling solvent. The final particles showed remarkable quality in shape homogeneity, forming hexagonal plates and nanospheres based on oleic acid content, with a size distribution centered around 21 nm [134].

Liu et al. developed a technique that successfully created doped β-NaYF₄ nanoparticles with exceptional consistency in size and an extremely narrow range of sizes, measuring (28.25 ± 0.76) nm. They employed a synthetic approach with NaF and RE oleates as precursors. Nanotubes consisting of closely packed, uniform nanocrystals were produced by impregnating α-NaYF₄ nanocrystals doped with Er³⁺ and Yb³⁺ into a spongy, anodized aluminum oxide (AAO) membrane. Emission bands were seen in the green, red, and blue spectrum regions, respectively, when Yb³⁺, Er³⁺, and Yb³⁺, Tm³⁺ ions were doped into cubic or hexagonal NaYF₄ nanocrystals [132].

Several studies explored methods to increase the spectrum of colors that emit light. Ehlert et al. demonstrated the broadening of emission color's range by combining colloids of up-conversion nanoparticles with varying dopant ions. They synthesized and mixed UCNPs in varying proportions to form colloids. The colloids consisting of Ln-doped NaYbF₄ exhibit emission bands in green and red regions of the spectrum. In particular, NaYbF₄:Er³⁺ and NaYbF₄:Ho³⁺ exhibit mostly blue and infrared (IR) emissions, whereas NaYbF₄:Yb³⁺ and NaYbF₄:Tm³⁺ emit both in blue and IR Regions [135]. Wang and Liu doped the NaYF₄ host with three ions (Yb³⁺, Tm³⁺, Er³⁺) simultaneously and controlled their concentration to achieve a variety of overall color outputs. They witnessed the shift from bluish to whitish for NaYF₄:Yb³⁺, Tm³⁺, Er³⁺, whereas greenish-yellowish to reddish for NaYF₄:Yb³⁺, Er³⁺ by controlling the concentration of dopants [136].

The oleate-based synthesis approach has several advantages, including a narrower particle size distribution, higher luminescence efficiency, and a higher level of phase purity in the final UCNPs. The synthesis of the α -phase is produced at lower temperatures below 300°C, demonstrating that the process in oleic acid is kinetically regulated despite the thermodynamic preference for the hexagonal bulk phase at low temperatures [137, 138].

6. SYNTHESIS OF SUB-10 NANOMETER NaYF₄ PARTICLES

Nanocrystals with outstanding optical characteristics have demonstrated potential as tools for biological imaging [3]. An essential criterion for bioimaging applications is the biocompatibility of the nanocrystals. In many experiments, it is necessary for the nanocrystals to have a similar size to (or smaller than) the biomolecules they are labeling in order to avoid any disruption to cellular systems [139]. UCNPs show great potential as probes for single-particle tracking. Thus, the synthesis of sub-10-nm NaYF₄, which is the crystal structure that exhibits the most efficient UC, is in high demand. Furthermore, there are uncertainties regarding whether small NaYF₄ nanocrystals would maintain the exceptional optical properties observed in larger UCNPs [140].

A group of researchers successfully produced sub-10 nm luminescent NaYF₄ nanocrystals that created clear colloidal solutions. Where N-(2-hydroxyethyl)ethylenediamine (HEEDA), in a high-boiling solvent, was treated to coprecipitate NaYF₄:Yb³⁺, Er³⁺, and NaYF₄:Yb³⁺, Tm³⁺ nanoparticles [141]. Transparent colloidal solutions of cubic-phase particles were obtained using this method. The upconversion efficiency of these solutions has been reported to be about eight orders of magnitude higher than the lanthanide-doped phosphate nanocrystal colloids [142]. Nevertheless, despite significantly improving earlier techniques, this synthesis procedure has several shortcomings, including a relatively broad particle size dispersion ranging from 5 to 30 nm and the generation of the less effective α -phase of NaYF₄ [111, 133, 136, 142].

Schäfer et al. have shown that even at room temperature, nanocrystalline β -phase NaYF₄ may be produced through a straightforward solid-state reaction combining NH₄F, Na₂CO₃, and RE carbonates [143]. Hexagonal-phase NaYF₄ nanocrystals are produced when this reaction occurs in oleylamine or a combination of oleylamine and oleic acid. Their particle size distribution is usually wider, spanning from 4 to 10 nm. Additionally, incorporating Gd³⁺ ions has shown to be an effective means of improving phase and size control. Wang and his colleagues performed the synthesis of NaYF₄:Yb³⁺, Er³⁺ nanocrystals at various doping concentrations and introduced Gd³⁺ ions into the nanocrystals to synthesize a ternary doped system. Under the selected reaction conditions, a blend of cubic and hexagonal phases has been observed without additional Gd³⁺ ions. However, the shift in structure from cubic to hexagonal was more noticeable, and the matching particle sizes shrank as Gd³⁺ concentrations rose. The visible color of the upconverting nanocrystals was adjusted by using

Gd³⁺ with binary-doped NaYF₄:Yb³⁺, Er³⁺, or ternary-doped NaYF₄:Yb³⁺, Er³⁺, Tm³⁺, which produced the ternary and quaternary doped lanthanide species.

Wang et al. achieved particle size reduction to below 10 nm by introducing gadolinium as a partial substitute for yttrium. They analyze a material system where doping influences the growth process, enabling precise manipulation of the resultant nanocrystals' crystallographic phase, size, and optical emission properties. They demonstrate that by precisely incorporating trivalent Ln-dopant ions at controlled concentrations, it is possible to systematically customize the dimension (down to 10 nm), phase (cubic or hexagonal) along with upconversion emission color of NaYF₄ nanocrystals [106]. Ostrowski et al. [140] fabricated approximately uniform sub-10 nm UCNPs. They outline the parameters for precise fabrication of protein-sized β -phase NaYF₄ nanocrystals doped with 20% Yb³⁺ and 2% Er³⁺, ranging in diameter from 4.5 to 15 nm. The nanocrystal size was controlled by adjusting the proportion of primary surfactants, the Y³⁺:F⁻ ratio, and the temperature range for the reaction, influencing their crystalline phase. Longer reaction durations promoted the generation of the intended β -phase nanocrystals with a relatively minor impact on nanocrystal dimensions [144]. Rinkel et al. also prepared sub-10 nm particles but employed decantation or other methods to eliminate residual NaF due to excessive fluoride source usage. The synthesis of 5 nm β -NaYF₄:Yb, Er core particles involves the reaction between sodium oleate, RE oleate, and ammonium fluoride. This reaction uses the favorable sodium to RE ion ratio, which facilitates the construction of a significant amount of β -phase seeds, resulting in the desired core particles. Subsequently, a sodium yttrium fluoride (NaYF₄) shell with a diameter of 2 nm is produced by employing 3–4 nm α -NaYF₄ particles as a precursor for the β -phase shell material shown in Figure 3. Contrary to the core particles, the α -phase particles are created using an intentionally low sodium-to-RE ion ratio. This low ratio effectively inhibits the formation of undesirable β -NaYF₄ particles during the expansion of the shell [145, 146].

The first author's research group synthesized the nanocrystals in a complex blend comprising Oleic acid (OA) and Octadecene (ODE) at a 3 : 1 ratio. A higher quantity of OA was utilized as it demonstrated superior capabilities for dissolving RE elements and sodium compared to ODE [105]. When a more significant proportion of ODE is employed, particle aggregation occurs at the OA and ODE interface due to the restricted solubility of precursors in ODE, resulting in larger particles. Conversely, a greater concentration of OA reduces the chances of monomers adhering to the nucleated crystal. The presence of a minimal amount of ODE prevents nanocrystal agglomeration.

The evolution of synthesizing NaYF₄ particles has traversed various scales, from micro to nanoscale, each realm offering unique challenges and advancements. In the macroscopic domain, Menyuk et al. laid the groundwork in 1972 [119], employing a rigorous process to yield Yb³⁺- and Er³⁺-doped NaYF₄, a significant milestone. Subsequently, studies like Kramer et al. in 2004 [120] refined the synthesis, prioritizing efficiency, stability, and phase optimization, setting a benchmark for subsequent techniques. The quest for microscale par-

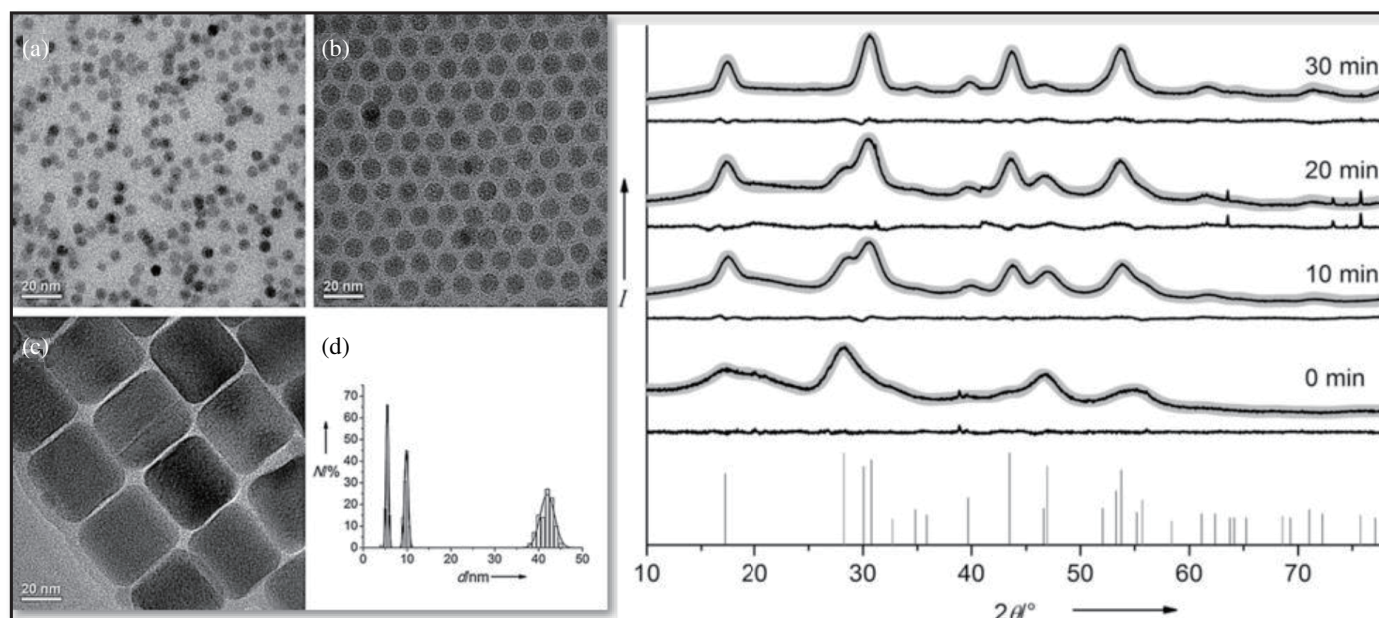


FIGURE 3. (a), (b) & (c) shows transmission electron microscopy (TEM) pictures obtained for nanoparticles provided with (d) histogram. The data sets are subjected to Rietveld refinements, as the solid lines indicate. The initial outcome consists of minute particles of α -phase NaYF₄:Yb,Er [145].

ticles witnessed innovative approaches by Zheng et al. [121] and Som et al. [122], leveraging hydrothermal and microwave-enhanced methods, respectively, to achieve controlled morphologies and distinct emission behaviors, unlocking the potential for higher-order UV emissions in Er³⁺-doped microcrystals.

At the nanoscale, the landscape is rich with diverse synthesis methodologies. The pioneering work of Li et al. and Yi et al. marked the synthesis of small hexagonal-phase NaYF₄ nanoparticles, catalyzing subsequent advancements in controlling size, shape, and dispersion. The oleate-based synthesis method emerged as a frontrunner, offering superior luminescence and phase purity, although challenges persisted regarding narrow temperature ranges and hazardous gas production. Efforts to craft sub-10 nanometer particles ensued, with researchers using meticulous control over parameters such as surfactant concentration, reaction duration, and fluoride sources. Wang et al. and Ostrowski et al. demonstrated the pivotal role of doping in achieving precise control over crystallographic phase, size, and optical properties, enabling tailored nanocrystals even below ten nanometers. Rinkel et al. faced hurdles with excessive fluoride sources, necessitating extra purification steps. Each synthesis scale presents its own set of limitations and triumphs. Whether navigating microscale precision or delving into the nanoscale realm for tailored crystals, researchers have tirelessly pursued advancements, harnessing intricate methodologies to shape the future of NaYF₄ particle synthesis.

7. PROS AND CONS OF NaYF₄ SYNTHESIS METHODS

The synthesis of NaYF₄ nanoparticles has evolved from macro to micro and sub-10 nanometer scales. Methods like thermal decomposition and co-precipitation set the stage for

newer techniques like hydro(solvo)thermal and microwave approaches. Scientists choose particular methods, aiming to customize nanoparticles for uses in bioimaging, photonics, and temperature measurement.

Thermal Decomposition: Menyuk et al. [119] pioneered the synthesis of Yb³⁺ and Er³⁺ doped NaYF₄ using a rigorous process involving high temperatures. This method efficiently produces nanoparticles with controlled properties, but it suffers from challenges such as reproducibility issues due to the high-temperature requirements and the generation of hazardous gases.

Co-precipitation: Kramer et al. [120] refined the co-precipitation method, emphasizing efficiency, stability, and phase optimization. While simpler compared to other methods, co-precipitation might yield mixed phases and require additional steps to achieve precise nanoscale properties.

Hydro(solvo)thermal: Wang et al. [147] and Zheng et al. [121] employed a hydrothermal method to create nanoparticles with specific shapes and unique light emission properties. However, this process might struggle to scale up for larger production because it takes more time to react and can be quite sensitive to the exact conditions under which the reaction occurs.

Co-precipitation with EDTA: Yi et al. [129] demonstrated co-precipitation with EDTA to achieve cubic-phase Yb³⁺, and Er³⁺ doped NaYF₄ particles with limited size distribution. While offering controlled properties and efficient luminescence, this method might encounter challenges in controlling phase transitions and scalability.

Microwave-aided approaches: Som et al. [122] used a microwave-enhanced hydrothermal method to synthesize hexagonal-phase NaYF₄ micro prisms with enhanced UC emission peaks. This method reduces the reaction time and

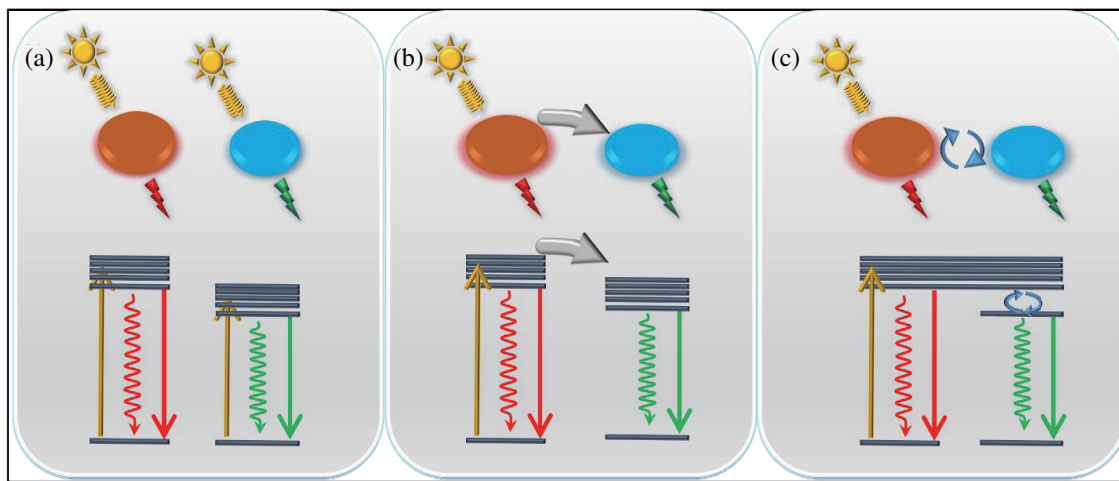


FIGURE 4. Schematic summaries of three different electronic structures leading to dual emission. Illustrations depicting (a) two UCL centers that are thermally independent, (b) moderately dependent, and (c) thermally coupled.

offers uniform morphology, but optimizing parameters and achieving precise control over size is not easy.

Each method showcased specific advantages and limitations. These examples illustrate how researchers have navigated various synthesis techniques, each offering distinct advantages and limitations, to engineer NaYF_4 nanoparticles tailored for specific applications in bioimaging, photonics, and thermometry.

8. BACKGROUND OF THE FLUORESCENCE INTENSITY RATIO

The optical temperature measurement technique utilizing the FIR has attracted significant attention owing to its exceptional precision and sensitivity. Its reliance on intensity ratios makes it immune to unwanted factors, rendering this luminescence-based thermometry method more effective. Its self-referencing nature eliminates the necessity for a temperature benchmark. It can be implemented using emission lines from various combinations of lanthanides and transition metal ions, each with distinct temperature responses, either from a single source or multiple luminescent centers. In recent years, this method has been extensively documented as a primary luminescence-based thermometric approach [148]. Studies outlined three scenarios related to the coupling modes of two excited states, spanning from “thermally non-coupled” to “moderately coupled” and “strongly coupled,” shaping the foundation for constructing FIR through dual emission. However, achieving dual emissions presents a challenge in managing the temperature dependency difference between the two emissions [149].

Numerous techniques have been explored to create dual emission systems with differing temperature dependencies, classified into three groups based on how energy transfer affects the temperature dependence between excited states shown in Figure 4. Approach I indicates scenarios where the temperature has no impact on energy transfer, suitable for “non-coupled” emission scenarios. Conversely, Approach II demonstrates temperature-sensitive energy transfer between electronically “moderately coupled” states. Approach III,

relevant to strongly linked states like thermally coupled luminescent (TCL) pairs, relies on temperature-driven population distribution via the Boltzmann distribution [150].

TCL pairs, particularly involving lanthanide ions due to their multiple intermediate energy levels, have been a focus for FIR-based thermometric applications. The potential lies in the vast energy level pairs satisfying the essential level separation criterion for TCL. Although more significant level separations benefit relative sensitivity, the stringent energy spacing $\Delta E < 2000 \text{ cm}^{-1}$ criterion remains a limiting factor, crucial for strong coupling and relative sensitivity determination. However, fluorescence peak overlaps due to small spacing may affect signal discernibility and deviate from predicted FIR behavior.

Considering the challenges of tightly coupled excited states, alternative approaches using Ln^{3+} doped NaYF_4 offer promising avenues. Ln^{3+} ions in inert materials have intra-4f transitions, which are inert to temperature fluctuations, allowing for feasible thermometric designs-based and non-coupled levels by leveraging thermal quenching among various activators. Additionally, the prolonged lifetime of most Ln^{3+} excited states facilitates energy transfer between “moderately coupled” levels, enabling temperature-sensitive efficiency.

In the following section, we explore recent advancements in compensating for shortcomings observed in FIR routes. It delves into methods utilizing Ln^{3+} luminescence for FIR-based temperature sensors. It highlights novel approaches that overcome the limitations of excited states, focusing on strategies I, II, and III FIR thermometry based on Ln^{3+} doped NaYF_4 luminescence.

9. ASSESSMENT OF THERMOMETER EFFECTIVENESS

Assessing the performance of a thermometer involves quantifying its effectiveness based on absolute and relative thermal sensitivities, temperature resolution, and repeatability. Here, we’ll initially outline and summarize the meanings of these key indicators.

10. THERMAL SENSITIVITIES

The absolute thermal sensitivity (S_a) represents the rate of alteration in thermometric characteristics (denoted by D) during a temperature transition (qT) [72].

$$S_a = \frac{qD}{qT}$$

Comparing the performance of thermometers using absolute sensitivity becomes challenging when different materials or physical principles are employed. To address this, relative thermal sensitivity (S_r) emerges, aiming to alleviate this issue of comparison between thermometers with diverse natures. It is typically represented as a percentage change per degree of temperature alteration ($\% K^{-1}$) [68].

$$S_r = \frac{1}{D} \left| \frac{qD}{qT} \right|$$

The relative thermal sensitivity (S_r) plays a critical role in determining the temperature readout accuracy of a luminescent thermometer.

11. TEMPERATURE RESOLUTION

A thermometer's minimum detectable temperature change is termed temperature resolution or temperature uncertainty (denoted by dT), typically measured in Kelvin. This parameter relies on the specifics of the measurement apparatus, including the experimental detection configuration and the signal-to-noise ratio [63].

$$dT = \frac{1}{S_r} \frac{qD}{D}$$

12. REPRODUCIBILITY AND REPEATABILITY

Reproducibility refers to the fluctuations observed in the precise measurement carried out under distinct conditions, such as using different methods or devices. Repeatability measures a thermometer's capability to produce consistent results under varying conditions [148].

13. FIR BETWEEN THERMALLY COUPLED LEVELS

Strong coupling can be produced between two energy levels whose energy gaps fall between 200 and 2000 cm^{-1} . The Boltzmann distribution is obeyed by the relative population between these two levels [151]. This distribution offers the capability to monitor temperature using a ratiometric approach. Consequently, the FIR equation can be recast as follows:

$$FIR = \frac{I_2}{I_1} = \frac{g_2 A_2 h \nu_2}{g_1 A_1 h \nu_1} = C \exp \left(-\frac{\Delta E_{21}}{k_B T} \right)$$

where I_x , g_x , A_x , and ν_x denote the emission intensity, degeneracy, spontaneous emission rate, and frequency of the thermally linked energy levels ($x = 1, 2$), respectively, and C , h , and k_B denote the constants of proportionality, Planck, and

Boltzmann, respectively. Here ΔE_{21} and T stand for the difference in energy levels and absolute temperature in order.

Vetron et al. [101] pioneered the utilization of fluorescence intensity to showcase the potential of the temperature-sensitive green emission from fluorescent $NaYF_4:Er^{3+}, Yb^{3+}$ nanoparticles. This approach demonstrated the viability of these nanoparticles as thermal nanoprobes for sensing temperatures within liquids and HeLa cervical cancer cells. Later, heavily Nd^{3+} doped $NaYF_4$ nanoparticles were employed for temperature assessment. Temperature evaluation was achieved by measuring the intensity ratio between the two Stark components within the $^4F_{3/2}$ multiplet in the Nd^{3+} ions [152]. In another work, the utility of $NaYF_4:Er^{3+}, Yb^{3+}$ nanocrystals (NCs) and $NaYF_4:Er^{3+}, Yb^{3+}/SiO_2$ core/shell ($NaYF_4@SiO_2$) NCs as UC nanothermometers has been explored. These uniform core UCNPs exhibited thermally governed UC luminescence up to 600 K, but their luminescence diminished at elevated temperatures owing to ligand oxidation and NC agglomeration. They further developed a silica coating around the core NCs to address this by generating core/shell NCs. The $NaYF_4@SiO_2$ NCs demonstrated consistent thermally induced UC luminescence up to 900 K, showcasing remarkable resilience during repeated cycling between 300 and 900 K [153]. The measurements exhibited a high level of precision, with standard fluctuations ranging from 1 to 5 K below and above 750 K. This study highlights temperature-sensitive probes, Yb^{3+} and Er^{3+} doped $NaYF_4$ encapsulated in a safeguarding silica shell, ranging up to 900 K. This fluorescence-based infrared thermometry extended temperature range, durability, and noninvasive nature which makes it suitable for diverse systems and remote temperature sensing applications. In a study conducted by Li and coworkers [154], the antiwhite LED (light emitting diode) capabilities of luminescence ratiometric thermometry were explored using Er^{3+} as a proof-of-concept. The investigation centered around the upconversion (UC) energy transition from $^2H_{11/2}/^4S_{3/2}$ to $^4I_{15/2}$ & $^4G_{11/2}/^2H_{9/2}$ to $^4I_{15/2}$ states of Er^{3+} embedded in $NaYF_4$. The longer emission lines of 520 and 540 nm overlapped entirely with the white LED emission spectrum, whereas the shorter peaks at 390 and 410 nm showed no significant spectral overlap with the white LED spectrum. This distinction allowed for comparing these two emission lines within the same material. The scheme is shown in Figure 5(a). Their findings showed that the $^4G_{11/2}/^2H_{9/2} \rightarrow ^4I_{15/2}$ transitions remained unaffected by the white LED irradiation. Conversely, the transition from $^2H_{11/2}/^4S_{3/2}$ to $^4I_{15/2}$ displayed a noticeable dependence on the white LED lighting under similar conditions. The study concluded that, in scenarios where white LED irradiation cannot be disregarded, the 380/409 nm emission bands offer a significantly better choice than the 520/540 nm bands for precise thermometric measurements. The emission band ratios of water-dispersible core@shell exhibit a temperature dependence that is non-overlapping and specific to Yb^{3+}/Er^{3+} . The study focused on examining $NaYF_4:Yb^{3+}:Er^{3+}@SiO_2$ nanorods throughout the I-BW (biological window) and II-BW spectral regions, as illustrated in Figure 5(b) [155]. Specifically, the $^2F_{5/2} \rightarrow ^2F_{7/2}/^4F_{9/2} \rightarrow ^4I_{15/2}$ corresponding

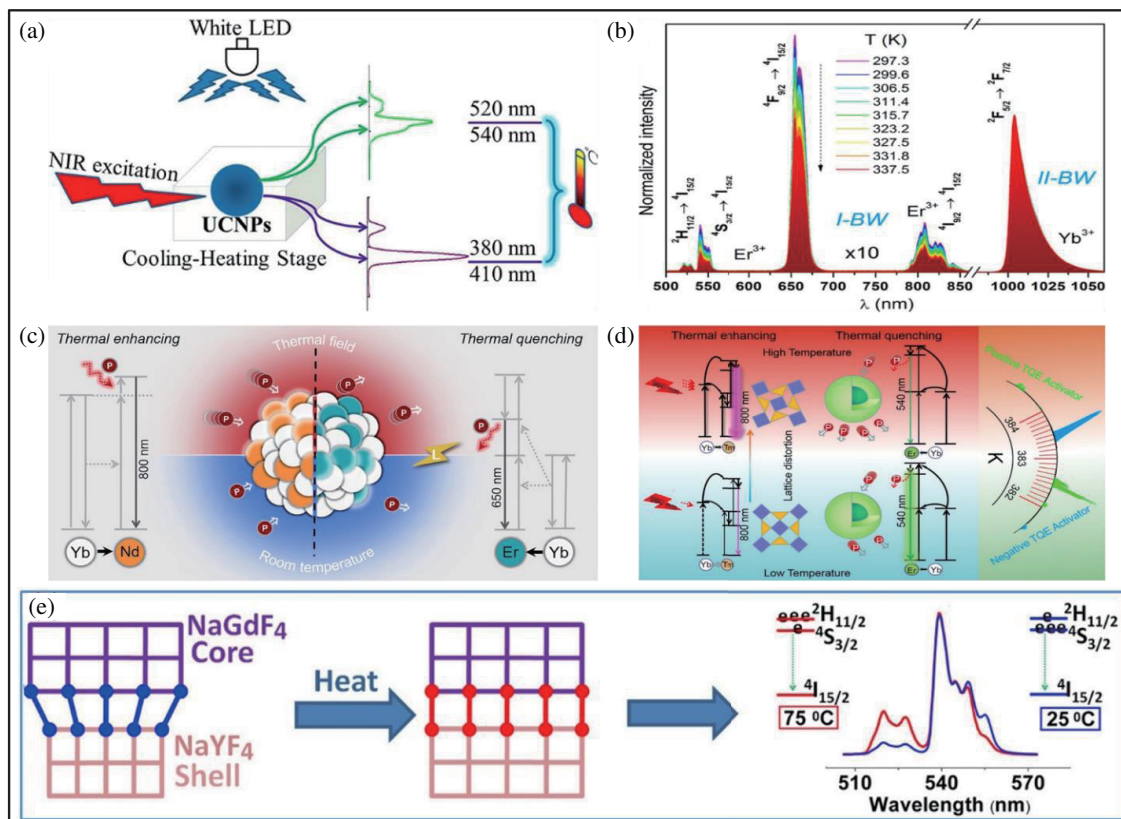


FIGURE 5. (a) illustrates the experimental arrangement for measuring temperature using NaYF₄:40%Yb³⁺, 2%Er³⁺ upconversion nanoparticles (UCNPs). (b) emission spectra of NaYF₄:Yb³⁺-Er³⁺@SiO₂ NRs; $\lambda_{ex} = 975$ nm. (c) illustrating the process of interface lattice self-adaptation. (d) demonstrates a temperature sensitivity by combining the positive and negative thermal quenching phenomena. (e) Proposal for developing ultrasensitive ratiometric nanothermometers with excellent contrast [154–158].

to 1010/810 nm and $^2F_{5/2} \rightarrow ^2F_{7/2}/^4F_{9/2} \rightarrow ^4I_{15/2}$ corresponding to 1010/660 nm transitions were exploited for temperature-sensing purposes. These exhibited dual-center emissions, effectively combining bright UC luminescence from Er³⁺ and a conventional down-shifting emission from Yb³⁺. The study highlighted the advantages of employing near-infrared (NIR) Yb³⁺/Er³⁺ band ratios for temperature sensing over the Er³⁺ 525/545 nm band ratio, primarily due to the superior light penetration capabilities through tissues. This preference was validated through a straightforward *ex vivo* experiment, affirming the effectiveness of the selected NIR band ratios for practical applications in temperature sensing. Another development in temperature-responsive nanoparticles showcased a remarkable sensitivity of 9.6% K⁻¹ at room temperature and extending beyond 2.3% K⁻¹ up to 413 K, which is suitable for ratiometric thermometry. Their approach comprises heterogeneous nanostructures, offering finely tuned thermal responses achieved through controlled nanoparticle growth. The scheme of their approach is shown in Figure 5(c). This design provides exceptional sensitivity and stability in these ultrasensitive nanothermometers, enabling prolonged noncontact monitoring of localized heat dissipation in microelectronic devices. In addition, the implementation of thermally enhanced anti-Stokes emission using ytterbium and neodymium lanthanide ions led to a remarkable improvement

of more than ten times in both sensitivity and dynamic range when compared to traditional ytterbium and erbium-codoped nanothermometers [156]. An innovative approach to create ultrahigh-sensitive temperature probes involves incorporating both positive and negative thermal quenching phenomena within a hydrogel matrix [157]. The methodology exploits the positive thermal quenching effect observed in Er³⁺ ions within Yb/Er:NaYF₄@NaYF₄ nanocrystals, contrasted with the negative thermal quenching effects seen in Nd³⁺ and Tm³⁺ ions within a Yb₂W₃O₁₂ material in bulk form as shown in Figure 5(d). Over the temperature span from 313 to 553 K, the fluorescence intensity ratios of Er to Nd and Tm to Er exhibited striking changes, decreasing by about 1654 times and increasing by 14,422 times, respectively. This achieved maximum relative sensitivities of 15.3% K⁻¹ at 553 K and 23.84% K⁻¹ at 380 K. The approach suggested by this study demonstrates a promising avenue for highly sensitive probes utilizing lanthanide ion-doped materials. Subsequently, Wu et al. [158] introduced another method that leverages lattice self-adaptation to enhance the thermal sensitivity of UCNPs significantly. Their study used the temperature-sensitive FIR of the dopant Er³⁺ as an indicator of temperature fluctuations. They engineered a heterojunction of NaGdF₄/NaYF₄ as the host material, deliberately inducing lattice distortion at the interface that responded sensitively to temperature changes as

shown in Figure 5(e). As the temperature increased, the FIR associated with the transitions ${}^2\text{H}_{11/2}/{}^4\text{S}_{3/2} \rightarrow {}^4\text{I}_{15/2}$ demonstrated a surge. This was accompanied by a self-adaptive reduction in interface lattice distortion, contributing to an additional increase in the FIR. By employing core/shell upconversion nanoparticles capable of lattice self-adaptation, they achieved a substantially heightened thermal sensitivity three times greater than that observed in core-only nanoparticles. A set of fluoride nanocrystals was synthesized, $\text{NaYF}_4:\text{Nd}^{3+}$ and $\text{NaGdF}_4:\text{Nd}^{3+}$, with Nd^{3+} ion concentrations ranging from 0.1% to 50% and sizes under 20 nm through thermal decomposition. The effects of dopant ion concentration and material characteristics on spectroscopic and thermometric properties across a broad temperature spectrum from 200 to 473 K were examined [159]. The study used two excitation wavelengths, 793 nm and 1060 nm, corresponding to the absorption from the ground and excited states, respectively. The purpose was to evaluate the appropriateness of the materials for single-band ratiometric luminescence thermometry. The 793 nm wavelength was chosen due to its high absorption cross-section in the near-infrared range, which is crucial for potential biological applications. Temperature determination relied on the emission intensity at 880 nm associated with the ${}^4\text{F}_{3/2}/{}^4\text{I}_{9/2}$ electronic transition under the mentioned excitation conditions. Increasing the Nd^{3+} concentration from 0.1% to 50% expanded the usable temperature range from 200 K to 250 K in the NaYF_4 host and 235 K to 270 K in the NaGdF_4 nanocrystals. The most significant relative sensitivities were observed in materials doped with 50% Nd^{3+} ions, irrespective of the host. These achieved $16.9\% \text{K}^{-1}$ at 223 K for $\text{NaYF}_4:50\%\text{Nd}^{3+}$ and $16.3\% \text{K}^{-1}$ at 203 K for $\text{NaGdF}_4:50\%\text{Nd}^{3+}$. Although the sensitivity reduced with increasing temperature, it consistently remained above the critical $1\% \text{K}^{-1}$ threshold across the analyzed temperature range. These exceptionally high values signify the substantial potential of the investigated nanocrystals for luminescence-based thermometry applications.

14. FIR BETWEEN MODERATELY COUPLED LEVELS

The inherent robustness of 4f electrons compared to the outer ${}^5\text{S}_2$ and ${}^5\text{P}_6$ shells results in their relative insensitivity to temperature changes. When inert materials are doped with Ln^{3+} ions, this characteristic allows for the feasibility of thermometric designs employing a range of thermal quenching techniques across various activator categories. Additionally, the extended lifetime of individuals can be attributed to the excited states of Ln^{3+} ions due to the 4f-4f dipole transitions which are parity forbidden. These transitions facilitate the efficient exchange of excitation energy between diverse excited states, creating ample room for developing temperature-sensitive thermometric designs utilizing energy transfer efficiency among “moderately coupled” levels.

$\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}, \text{Nd}^{3+}$ and $\text{NaAlSiO}_4:\text{Cr}^{3+}$ dual-phase glass ceramics were effectively constructed using a melt-quenching procedure and heat treatment. The investigation verified the effective energy transfer from Er^{3+} to Cr^{3+} in a $\text{Yb}^{3+}/\text{Er}^{3+}/\text{Cr}^{3+}$ codoped precursor glass. During crystallization, the RE^{3+} and Cr^{3+} ions assimilated into the NaYF_4 and

NaAlSiO_4 nanocrystals, isolating the ET between these ions. The fluorescence intensity ratio between ${}^4\text{F}_{7/2}/{}^4\text{S}_{3/2}$ - ${}^4\text{I}_{9/2}$ and ${}^4\text{F}_{3/2}$ - ${}^4\text{I}_{9/2}$ transitions of the Nd^{3+} ions in the range of 400 K–573 K and the FIR originating from the non-thermally coupled system of $\text{Cr}-\text{Nd}$. Due to Yb^{3+} being the only to absorb 980 nm photons, there is an energy imbalance between $\text{Yb}^{3+} \rightarrow {}^5\text{F}_{5/2}$ and $\text{Nd}^{3+} \rightarrow {}^4\text{F}_{3/2}$ energy states [160]. To produce near-infrared emissions from samples doped with $\text{Yb}^{3+}/\text{Er}^{3+}/\text{Cr}^{3+}$, phonon-assisted energy transfer from the thermal population and Yb^{3+} to Nd^{3+} ions is required due to this difference. When the emission spectra were compared, the latter had stronger emission peaks at 862 and 803 nm and a distinct peak at 750 nm. This mechanism is demonstrated in Figure 6(a). This $\text{Yb}^{3+}/\text{Er}^{3+}/\text{Cr}^{3+}$ codoped oxyfluoride glass ceramic offers high-accuracy temperature measurement over a broad range, setting a new standard for optical temperature sensors [161].

Yao et al. synthesized $\text{NaYF}_4:\text{Er}, \text{Yb}$ phosphors by incorporating varying concentrations of Mn through a solvothermal method. As the concentration of Mn doping improved, the sample size and morphology transitioned from large rod-like structures to smaller cubes. Excited by a 980 nm wavelength laser, all samples exhibited two distinct green emission bands centered at 527 and 549 nm, alongside a red emission band positioned at 649 nm, attributed to the transitions of ${}^2\text{H}_{11/2}$, ${}^4\text{S}_{3/2} \rightarrow {}^4\text{I}_{15/2}$ and ${}^4\text{F}_{9/2} \rightarrow {}^4\text{I}_{15/2}$, respectively. Particularly, the luminescence intensities of Mn-doped samples, notably in the red region, surpassed those of the 0% Mn sample [163]. The energy level diagram and the energy transfer processes were schematically represented in Figure 6(b).

Mn^{2+} ion was added, resulting in a nonradiative energy transfer between Mn^{2+} and Er^{3+} . This transfer involved back-energy flowing from the ${}^4\text{T}_1$ state of Mn^{2+} to the ${}^4\text{F}_{9/2}$ state of Er^{3+} , after which electrons from the ${}^2\text{H}_{9/2}$ and ${}^4\text{S}_{3/2}$ states of Er^{3+} moved to the ${}^4\text{T}_1$ state of Mn^{2+} [166]. As a result, this technique expedited red emission augmentation. The distance between Er^{3+} and Mn^{2+} significantly shrank as the concentration of Mn rose, encouraging a more active energy transfer process between both elements. This increased transmission enhanced the red emission. During temperature testing between 300 K and 30 K, the 20% Mn sample displayed a consistent and more pronounced increase in UC efficiency compared to the 0% Mn sample. This enhancement stemmed from the reduction of recombination centers due to decreasing temperature. Employing the FIR technique based on thermally coupled level transitions of the Er^{3+} ions, the maximum sensitivities were measured as 0.0036K^{-1} for the 0% Mn sample and 0.0057K^{-1} for the 20% Mn sample at 300 K. These findings collectively underscore the effectiveness of Mn doping in optimizing the sensitivity of fluorescence-based temperature sensors.

Cao et al. [165] highlighted a strong correlation between the UCL properties and the Nd^{3+} doping concentration and temperature. The observed enhancement of infrared upconversion in Nd^{3+} with rising temperature stemmed from effective energy transfer from Er^{3+} to Nd^{3+} ions validated through fluorescence kinetic analysis and energy rate equations. Exploiting the temperature-dependent UCL behavior, they iden-

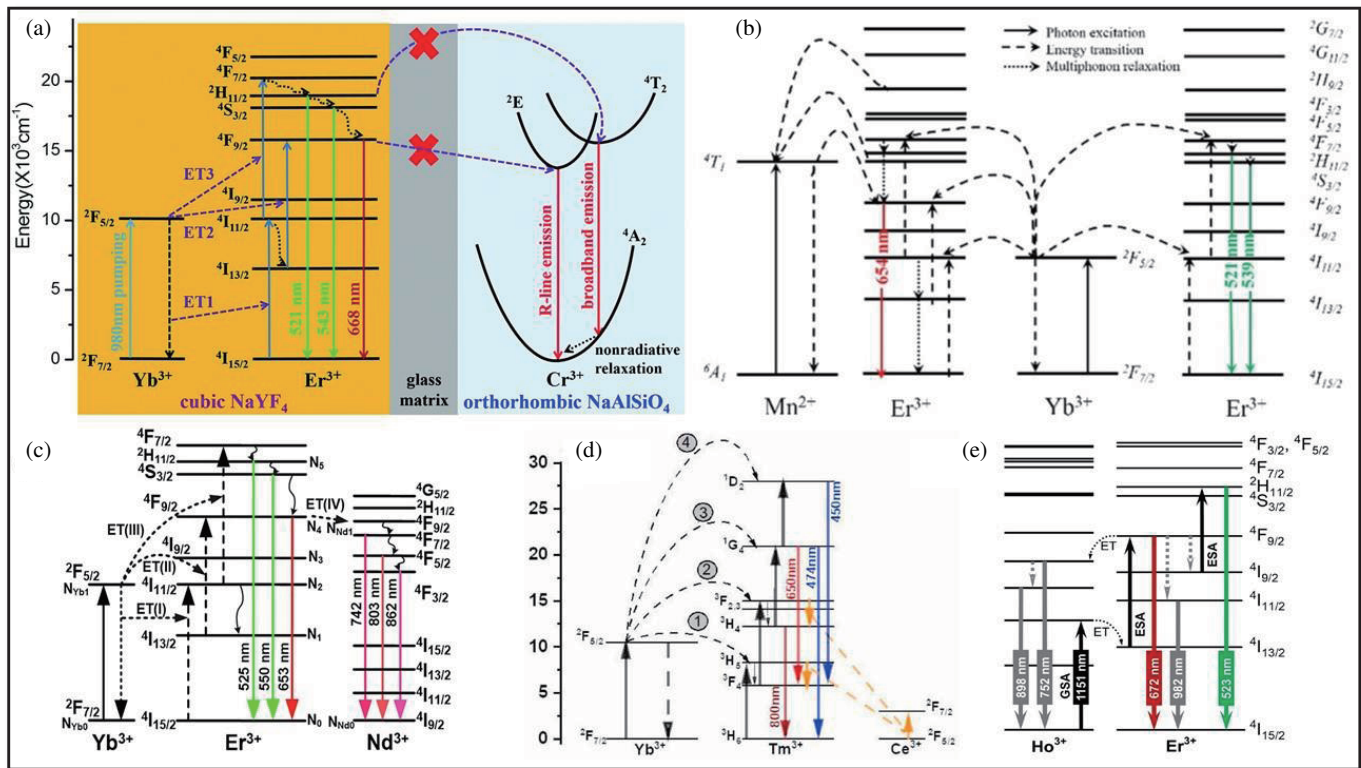


FIGURE 6. (a) describes a schematic energy level for the Er^{3+} and Cr^{3+} ions in a dual-phase Er-Cr system. (b) a schematic energy level diagram depicting the energy levels of Yb^{3+} , Er^{3+} , and Mn^{2+} ions. (c) the energy level diagram and potential energy transfer (ET) mechanisms in $\text{NaYF}_4:\text{Er}/\text{Yb}/\text{Nd}$ nanocrystals (NCs) when stimulated at a wavelength of 980 nm. (d) the energy transfer UC mechanisms observed in $\text{Yb}^{3+}/\text{Tm}^{3+}$ upconverting systems explore the potential influence of Ce^{3+} ions on energy distribution. (e) An energy level diagram for Ho and Er was observed at a wavelength of 1151 nm [161–165].

tified an exponential correlation between the fluorescence intensity ratio from the thermally coupled energy levels of Er^{3+} : ${}^2\text{H}_{11/2}/{}^4\text{S}_{3/2}$ and Nd^{3+} : ${}^4\text{F}_{7/2}/{}^4\text{F}_{5/2}/{}^4\text{F}_{3/2}$ and inter-ion energy levels ${}^4\text{F}_{9/2}$ of Er^{3+} and ${}^4\text{F}_{7/2}/{}^4\text{F}_{5/2}/{}^4\text{F}_{3/2}$ of Nd^{3+} with temperature across both cryogenic and high-temperature ranges. The energy exchange phenomenon is demonstrated in Figure 6(c). The maximum sensitivity reached approximately 0.83 K^{-1} . This study proposes using $\text{Er}^{3+}/\text{Nd}^{3+}$ co-doped phosphors in luminescent thermometry due to their broad temperature range coverage. Pilch-Wróbel et al. [162] examined the effect of Ce^{3+} codoping in luminescent NaYF_4 nanoparticles doped with Yb^{3+} and Tm^{3+} , showcasing notable variations in their emission intensity ratios across multiple wavelength transitions (${}^1\text{D}_2 \rightarrow {}^3\text{F}_4$ at 450 nm, ${}^1\text{G}_4 \rightarrow {}^3\text{H}_6$ at 475 nm, ${}^1\text{G}_4 \rightarrow {}^3\text{F}_4$ at 650 nm, and ${}^3\text{H}_4 \rightarrow {}^3\text{H}_6$ at 800 nm) shown in Figure 6(d). Incorporating Ce^{3+} ions into the material led to a twofold enhancement in the 450/800, 470/800, and 650/800 luminescence intensity bands ratios (FIR). Modifying the excitation conditions and explicitly altering the pulse width resulted in a threefold increase in these FIR. The luminescence properties showed temperature dependency, revealing a relative sensitivity of approximately $4\%/K$ for the 450/800 and 470/800 band ratios and close to $5.5\%/K$ for the 650/800 band ratio around 0°C . However, as the temperature range shifted to physiological levels, the thermal sensitivity decreased to

approximately $2\%/K$ for the 450/800 and 470/800 bands ratios and above $2.5\%/K$ for the 650/800 bands. $\text{NaYF}_4:\text{Ho}^{3+}$, $\text{Er}^{3+}@/\text{NaYF}_4$ nanoparticles were meticulously synthesized via a controlled reaction in oleic acid and octadecene at 573 K. The spectroscopic properties of these products were extensively analyzed, focusing on the upconversion emission observed under 1151 nm laser excitation across a temperature range from 295 to 378 K. The sample doped with Ho^{3+} and Er^{3+} ions demonstrated a distinct behavior wherein the emission intensity increased with rising temperature. Most of the energy that Ho^{3+} ions absorb passes on to Er^{3+} ions, as shown in Figure 6(e). Exciting Er^{3+} ions to ${}^4\text{F}_{9/2}$ levels makes an extra emission possible, which results in a peak at 672 nm. After relaxation to the ${}^4\text{I}_{11/2}$ state, there is a strong emission at 982 nm. An extra emission band at 523 nm is produced when the Er^{3+} ion absorbs another photon, which populates the ${}^2\text{H}_{11/2}$ and ${}^4\text{S}_{3/2}$ levels of Er^{3+} . Though it is weaker than in a system with only Ho^{3+} ions, the emission from Ho^{3+} ions at 890 and 743 nm is caused by energy transfer from the excited Er^{3+} ions. This unique property showcased a relative sensitivity reaching its peak value of $1.80\%/K$ at 378 K. These findings underscore the exceptional optical temperature sensing capabilities of the $\text{NaYF}_4:\text{Ho}^{3+}$, $\text{Er}^{3+}@/\text{NaYF}_4$ system, mainly relying on the luminescence intensity ratios of the near-infrared bands associated with both Ho^{3+} and Er^{3+} ions [164].

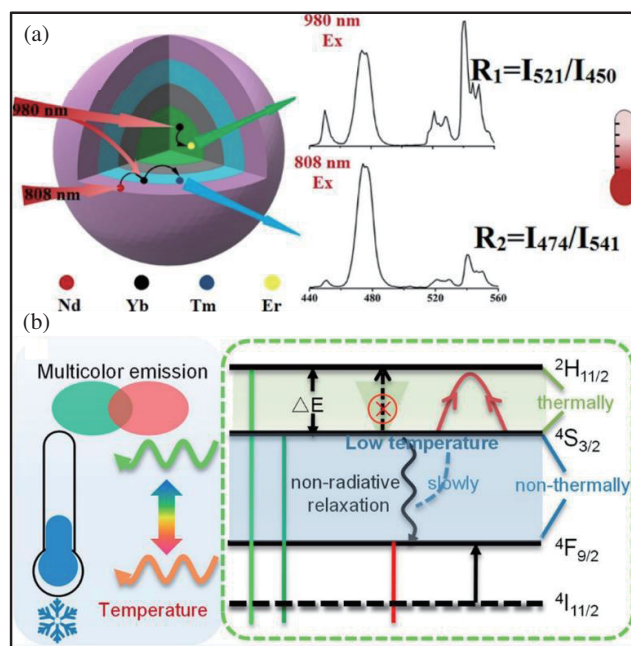


FIGURE 7. (a) illustrates the schematic diagram of a temperature sensor system utilizing Erbium (Er), Yttrium (Y), Thulium (Tm), and Neodymium (Nd) ions. The system operates under the stimulation of two different wavelengths. (b) schematic illustration diagram depicting the thermally coupled levels ($^2H_{11/2}$, $^4S_{3/2}$) and nonthermally coupled levels ($^4F_{9/2}$, $^4I_{11/2}$) that contribute to the emission of many colors [167, 169].

15. FIR BETWEEN THERMALLY NON-COUPLED LEVELS

The lack of correlation between the temperature dependence of the two different emissions and the energy transfer between the two excited states is demonstrated. These mechanisms are relevant in cases where temperature does not impact energy transfer between the two excited states. Ba et al. [167] developed multilayer core-shell-shell-shell nanoparticles, denoted as $\text{NaYF}_4\text{:Yb,Er@NaYF}_4\text{:Yb,Tm@NaYF}_4\text{:Nd}$, tailored for high-sensitivity non-contact fluorescence nanothermometry which is shown in Figure 7(a). These nanoparticles integrated distinct fluorescence centers, Er^{3+} and Tm^{3+} , strategically positioned in separate regions within the nanostructure and isolated by a NaYF_4 barrier to prevent energy cross-relaxation. The addition of Nd^{3+} doping in the outermost layer enabled robust fluorescence performance upon excitation at 808 nm. Notably, results obtained using 980 nm excitation indicated its potential as a dual-wavelength-stimulated thermal probe. Specifically, the luminescence intensity exhibited explicit temperature-dependent behavior within the physiological temperature range. The intensity ratio, representing a quadratic function with temperature, demonstrated improved sensitivity by considering emissions from different ions situated in distinct layers, contrasting those emanating from the same ions. The sensitivity enhanced significantly, reaching maximum values of $2.95\% \text{ K}^{-1}$ and $6.30\% \text{ K}^{-1}$ when excited at 980 nm and 808 nm, respectively. This pioneering approach, employing a multilayer core-shell-shell-shell structure with varied ion doping across layers and leveraging non-thermally coupled fluorescence intensities, presents a promising strategy to enhance the sensitivity of temperature sensors substantially. The first au-

thor's group has studied a wide-range thermal sensing method, relying on the two-photon upconversion luminescence of the high-temperature cubic phase $\text{NaYF}_4\text{:Yb, Er}$. Through temperatures ranging from room temperature to 973 K, the single-phase sample demonstrates two distinct emission bands in green and red, each showcasing different temperature dependencies. Using the CIE chromaticity diagram to look at the data shows an apparent change in the color point trajectory, going from a deep red color (0.6357, 0.3501) at room temperature to a yellow region (0.4379, 0.475) at 600 K and then to a green region (0.318, 0.669) at 973 K. This shift indicates the potential of HT cubic-phase $\text{NaYF}_4\text{:Yb, Er}$ as a promising ratiometric and colorimetric luminescent thermometer. The study reveals a nuanced trend in relative sensitivity across the temperature range. Up to 673 K, there is a slight decrease in sensitivity, then an increase as the temperature rises. The enlargement of the lattice in the high-temperature cubic phase has a substantial impact on the crystal symmetry surrounding the activator ion. This change strengthens the green-to-red emission ratio, which helps explain why luminescence behaves differently at different temperatures [168]. Nie et al. achieved multicolor upconversion luminescence by leveraging unique cryogenic-dependent energy manipulation. Their research gives us a new way to think about how green emissions work when excited by 1550 nm light, especially in a cryogenic system. They highlight that the electrons at the $^2H_{11/2}$ level predominantly originate from the $^4S_{3/2}$ level. Their research is mainly about a substance that has Er^{3+} ions added to it. It works on levels that are not thermally coupled ($^4F_{9/2}$ and $^4S_{3/2}$) and gives off different colors of light when it cools down [169]. The scheme is demonstrated in Figure 7(b).

TABLE 1. Thermometry data comparison of dual-mode luminescence materials using the FIR technique, listing Lanthanide dopant, excitation wavelength, temperature range (K), maximum sensitivity, and temperature resolution.

Lanthanide	Excitation wavelength	Temp. range (K)	Max Sr (K) ⁻¹	At temp. (K)	Temperature resolution	Ref.
<i>Yb, Nd</i>	880	223–473	16.9	223	0.1–0.2	[159]
<i>Yb, Er</i>	980	304–321	1.1	304	0.08–0.09	[171]
<i>Nd</i>	808	318–327	1.0	318	0.28–0.30	[171]
<i>Yb, Er</i>	980	300–900	1.02	300	0.2–0.3	[153]
<i>Er, Yb, Nd</i>	980	298–413	9.6	298	< 1	[156]
			2.3	413		
<i>Yb, nd, tm</i>	808	285–345	0.88	285–345		[172]
		345–495	1.89	345–495		
<i>Tm, Yb</i>	980	303–423	2.13	303		[173]
<i>Yb, Nd</i>	808	150–450	2.10	370		[174]
<i>Yb, Er</i>	980	293–373	1.62	293		[175]
<i>Yb, Er</i>	975	299–337	1.31	299	0.59–1.0	[155]
<i>Er, Tm</i>	980	298–503	2.61	298		[176]
<i>Yb, Er, Nd, Tm</i>	980	313–553	23.84	380		[157]
<i>Er</i>	980	298–348	30.2	298		[158]
<i>Ho, Er</i>	1151	295–378	1.80	378		[164]
<i>Yb, Ho, Tm</i>	980	300–500	1.796	345	0.0167	[170]
<i>Er</i>	1550	100–350	4.225	150		[169]

This research establishes high sensitivity, enabling the development of temperature measurements through visual reading and digital recognition. These results help us learn more about how UC luminescence changes and give us valuable ideas for making materials that can sense temperature in many colors. A series of NaYF₄:Yb³⁺/Ho³⁺ upconversion green phosphors co-doped with varying concentrations of Mg²⁺/Sc³⁺ were synthesized. The study used fluorescence intensity ratios (FIR) to determine its sensitivity, focusing on the levels (⁵F₅/⁵F₄, ⁵S₂) of Ho³⁺ ions that are not thermally coupled. The optimized phosphor displayed maximum sensitivity values, with Sa reaching 0.00119 K⁻¹ at 574 K and Sr at 0.258% K⁻¹ at 374 K. Also, the upconversion emission intensity stayed stable, keeping about 65% of its intensity at 423 K and 36% at 574 K, compared to room temperature. This retention highlights the thermal stability of the phosphors for their potential for applications in environments with varying temperatures.

Cheng et al. fabricate hexagonal phase NaYF₄:Yb³⁺/Ho³⁺/Tm³⁺ nanoparticles. The study delved into evaluating the responsiveness of various energy levels to temperature variations using FIR techniques, focusing on Thermally Coupled Levels (TCLs) and Non-Thermally Coupled Levels (NTCLs). Notably, a significant temperature-dependent FIR was observed, with NTCLs exhibiting an impressive fit above 99.5%. The experimental findings underscored the exceptional performance of Ho³⁺/Tm³⁺/Yb³⁺ triple-doped NaYF₄ as an optical temperature sensing medium. The material had excellent temperature-sensing properties. Its highest absolute sensitivity (Sa), lowest relative sensitivity (Sr), and highest

temperature resolution based on NTCLs were 0.0126 K⁻¹ at 495 K, 1.7966% K⁻¹ at 345 K, and 0.0167 K, respectively. These values far surpassed those of most optical sensing materials. Additionally, the distinct emission bands of NTCLs provided excellent discrimination in temperature-sensing signals. The material exhibited enhanced sensory capabilities due to the synergistic interplay of non-radiative relaxation, thermal excitation, and energy transfer mechanisms throughout the heating process. This synergy between these factors underscored the remarkable temperature-sensing performance of the Ho³⁺/Tm³⁺/Yb³⁺ triple-doped NaYF₄, positioning it as a promising material in optical temperature-sensing applications. Thermally coupled levels showcase exceptional accuracy and sensitivity across a wide temperature spectrum [170]. A comparative analysis is presented in Table 1, detailing essential thermometric parameters of dual-mode luminescence materials utilizing the FIR technique with various Lanthanide dopants.

Research highlights high-precision temperature measurements achievable via distinct fluorescence centers and multilayer nanostructures, enabling enhanced sensitivity within physiological temperature ranges. These methods offer promising avenues for precise temperature sensing in biological and biomedical applications. Additionally, investigations into multicolor upconversion luminescence and sensitivity enhancements from thermally non-coupled levels demonstrate unique cryogenic-responsive emissions and the development of high-sensitivity, multicolor temperature-sensing materials. These studies present innovative approaches for temperature measurement, potentially expanding applications across

diverse environments and temperature ranges. In summary, the thermometric results from these studies underscore the versatility and potential of fluorescence intensity ratios based on optical temperature sensing methods. These findings showcase remarkable accuracy, sensitivity, and adaptability, laying a robust foundation for advancing precision temperature measurements in scientific, biomedical, and technological domains.

16. CONCLUSION AND PERSPECTIVES

This review provided an overview of the latest advancements in lanthanide-doped NaYF₄. Initially, the primary focus of the research was on synthesizing high-quality UCNPs. This was achieved by manipulating several factors, such as the types and concentrations of doping ions, particle size, phase, host materials, and the introduction of non-rare earth ions or metal nanoparticles. A comprehensive examination was conducted on various methods to achieve controlled synthesis of lanthanide-doped nano/microcrystals of NaYF₄. The adjustment of precursor and synthesis parameters has produced a diverse range of high-quality nanocrystals with customized morphologies. However, the existing control procedures heavily rely on experimental trial-and-error methods. Simultaneously, most synthetic processes are comprised of hypotheses due to the absence of a reliable measuring approach and validating evidence. Hence, there is a pressing need to create a monitoring technique to understand the reaction process's underlying mechanism. Furthermore, enhanced comprehension of synthetic methods would facilitate the systematic development of synthetic strategies, guiding the production of nano/microcrystals with preconceived architectures. In the meantime, much research has been done on making superior lanthanide-doped NaYF₄ UCNPs with a limited size distribution and strong luminescence efficiency. The exploration of novel upconversion ions, combinations of ions, and host materials continues to be a very active area of investigation.

Researchers who persist in their endeavours should employ suitable strategies for the synthesis of NaYF₄ nanoparticles doped with lanthanides to achieve the required size distribution and optical characteristics. Additionally, establishing efficient channels for energy transfer between these materials, designing structures to safeguard the emitting sites, and manipulating the matrix materials should also be pursued.

The diverse range of applications in which there is a demand for nanoscale temperature sensors requires the creation of a comprehensive collection of temperature sensors that demonstrate exceptional performance in several complementing aspects. Instead of emphasizing particular applications, this paper aims to elucidate the photophysical mechanisms that underlie the dual emission observed in different optical temperature sensors, enabling ratiometric detection. The diverse agents have been exemplified through contemporary literature. A sensitivity meter has been established with a specific focus on dual-emitting sensors, allowing for quantitative evaluation and comparison of these sensors. Additionally, the constraints and drawbacks associated with these metrics have been addressed in the discussion. The recent identification of a novel dual-

emission phenomenon in nanocrystals doped with Mn²⁺, Ce⁺² ions, etc., has garnered significant attention. It has resulted in the development of a novel category of nanothermometers that exhibit exceptional characteristics in terms of adjustability, sensitivity, luminosity, and accuracy in measuring temperature. These attributes make them suitable for various demanding applications in optical nanothermometry.

When considering the particular use of temperature sensors, numerous materials used in FIR thermometers have also faced certain obstacles. The object's temperature to be measured may be influenced by the photo-thermal effect of the excitation of 980 nm light. Furthermore, the specifications for thermometers vary across various temperature measuring settings. Thermometers for detecting minute temperature variations in biologically active tissues or cells necessitate a high level of sensitivity within a limited physiological temperature range. The successful operation of temperature detection requires using thermometer materials with exceptional optical thermal stability, enabling them to function effectively in elevated temperature conditions. Consequently, it is imperative to establish precise standards for thermometers to give researchers a reliable reference point. In conclusion, the FIR thermometer is anticipated to progress in enhanced sensitivity, improved resolution, and expanded temperature range. Consequently, the FIR thermometer is expected to find extensive application across diverse temperature sensing scenarios in the foreseeable future.

The utilization of UCNPs in thermometry and STED microscopy presents a promising avenue for enhancing imaging modalities. Their unique optical properties allow for nanoscale temperature detection and the prospect of surpassing the resolution limitations inherent in conventional microscopy techniques. In thermometry, UCNPs exhibit sensitivity to temperature alterations by altering the emitted light's intensity ratio, enabling precise temperature mapping at cellular and sub-cellular levels. This characteristic makes them valuable candidates for probing localized thermal changes within biological systems. Moreover, in STED microscopy, UCNPs hold potential as contrast agents owing to their distinctive spectral features and photostability. The integration of UCNPs could augment resolution capabilities, enabling sharper imaging of minute cellular structures beyond the diffraction limit.

ACKNOWLEDGEMENT

This work is partially supported by the "Pioneer" and "Leading Goose" R&D Program of Zhejiang (No. 2023C03002, 2023C03083, and 2023C03135), the National Key Research and Development Program of China (No. 2022YFC2010000 and 2022YFC3601002) and the National Natural Science Foundation of China (No. 11621101).

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